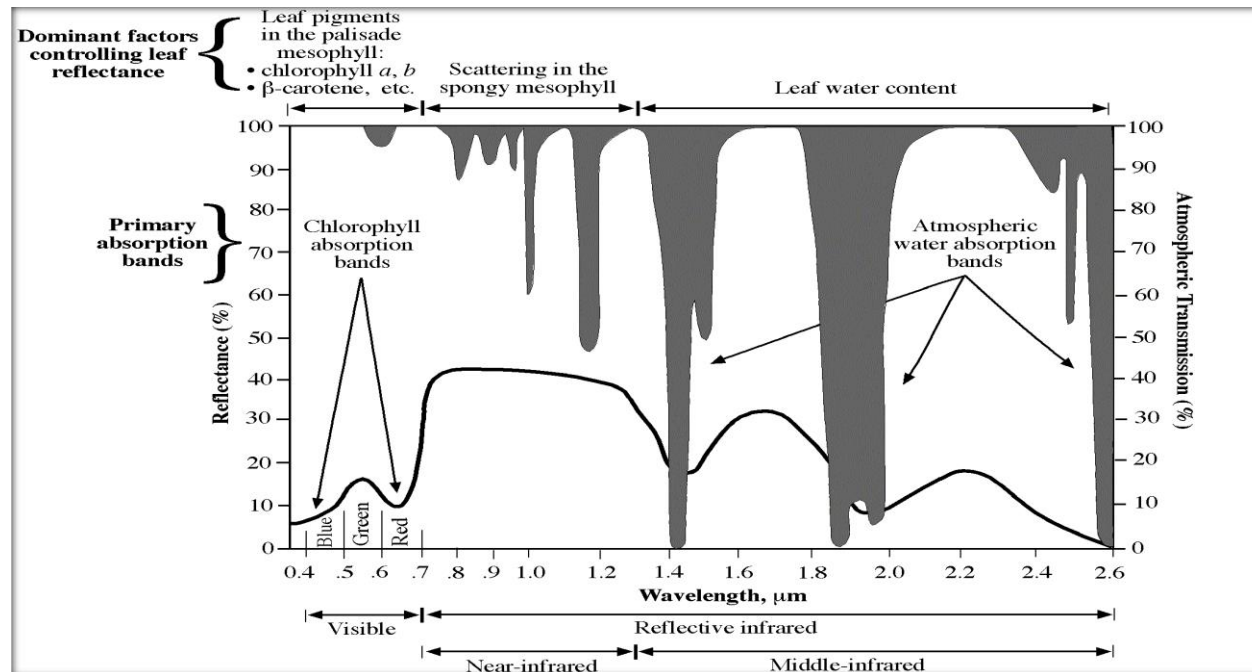




## Lecture 5: Remote Sensing of Vegetation



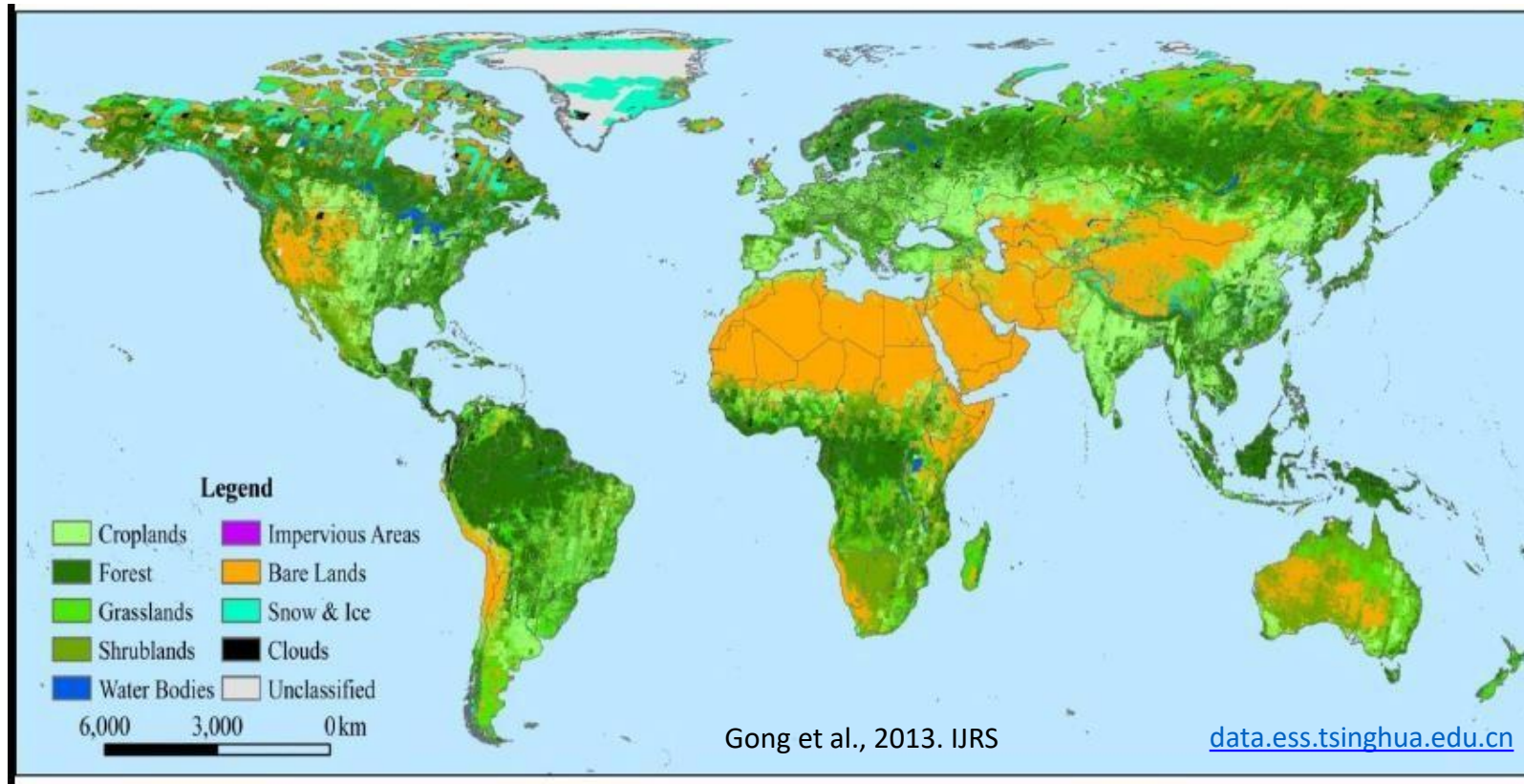
November 8, 2023

# Outline

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- **Leaf optical properties**
- **Dominant controlling factors of leaf and canopy reflectance**
- **PROSPECT and PROSAIL models**
- **Quantification of vegetation parameters**
- **Bidirectional reflectance distribution function (BRDF) and bidirectional reflectance factor (BRF)**
- **Vegetation Indices**

# Vegetation on the Earth

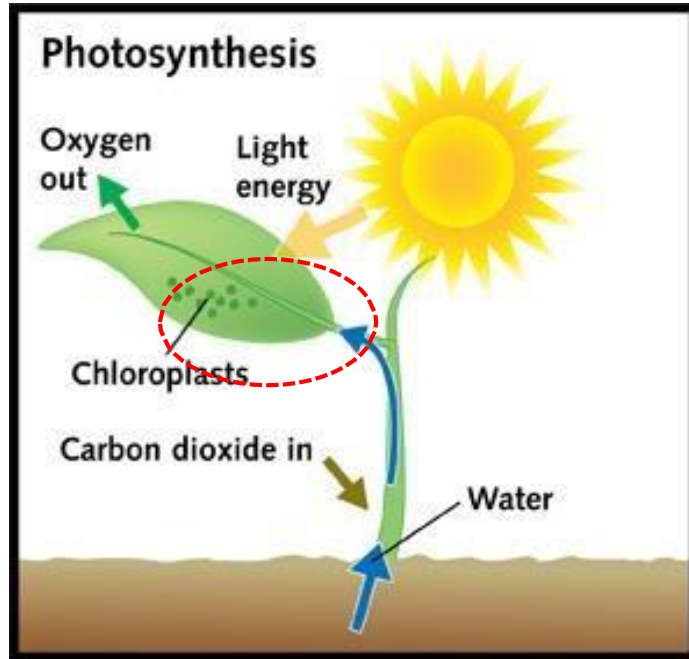


**Many of remote sensing techniques developed for vegetation applications are generic in nature. We can use some techniques across a variety of fields:**

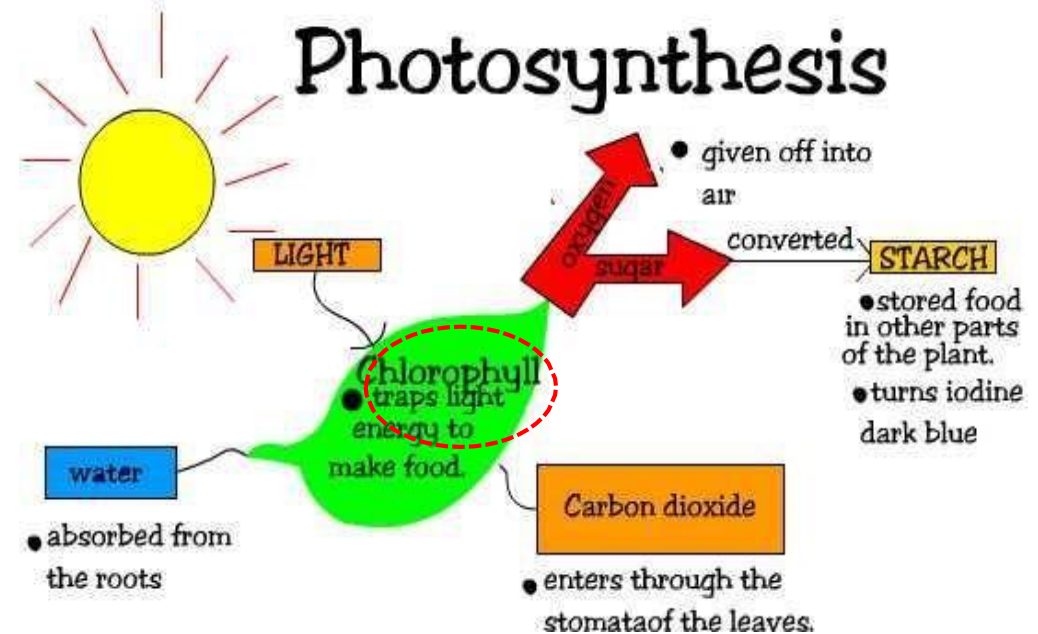
- Cropland
- Forest
- Rangeland
- Wetland

# What makes vegetation unique?

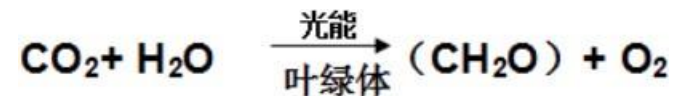
- The most important biological process: photosynthesis



<http://science.jrank.org>



<http://wikispaces.com>

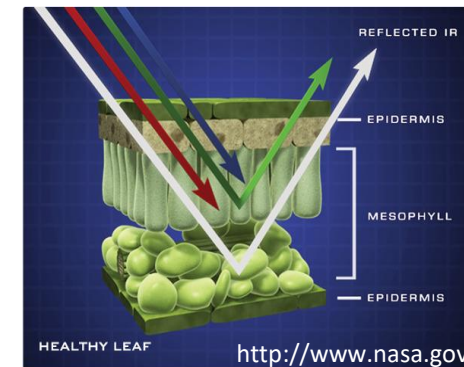
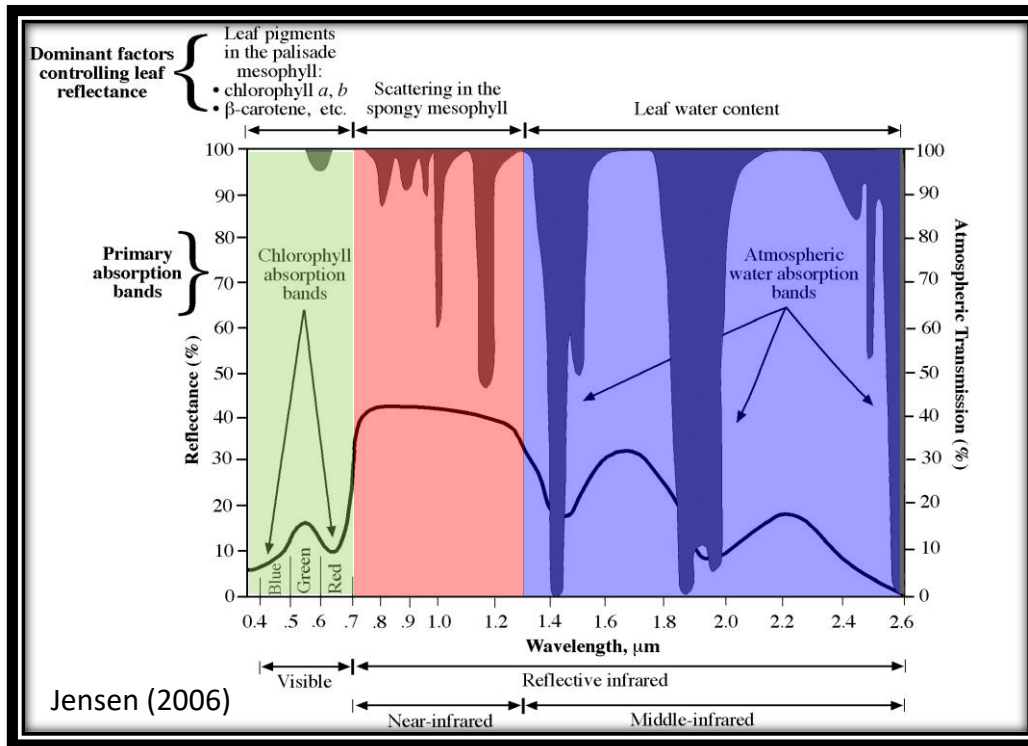


- Our focus is on the interaction between vegetation and light energy.
- Plants absorb carbon dioxide and water to **synthesize** photosynthesis production.

# What controls leaf reflectance in the range of 400-2500 nm?

## Main constituents in a healthy leaf:

- Pigments
- Water
- Cellulose, lignin, protein, starch, ...



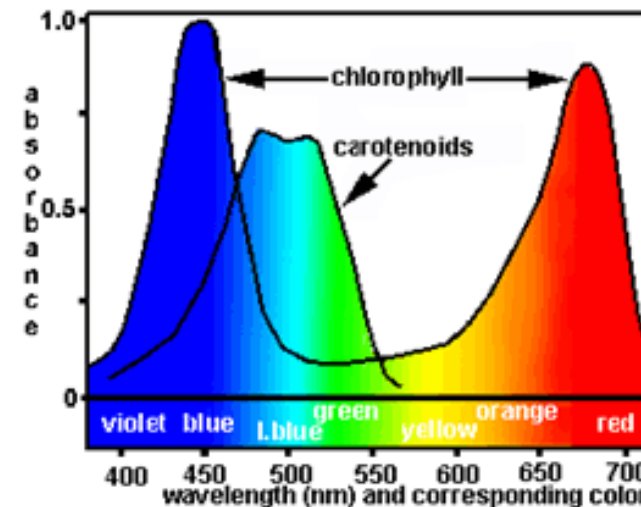
This is the fundamental for remote sensing of vegetation.

# Controlling factor: *pigments*

- Two major classes of photosynthetic pigments:
  - Chlorophylls
  - Carotenoids
- Chl *a* and *b*:
  - responsible for the green color of leaves.
- $\beta$ -carotene:
  - responsible for the orange color of an orange peel.



Photos from [www.photographyheat.com](http://www.photographyheat.com)



# Absorption spectra of pigments

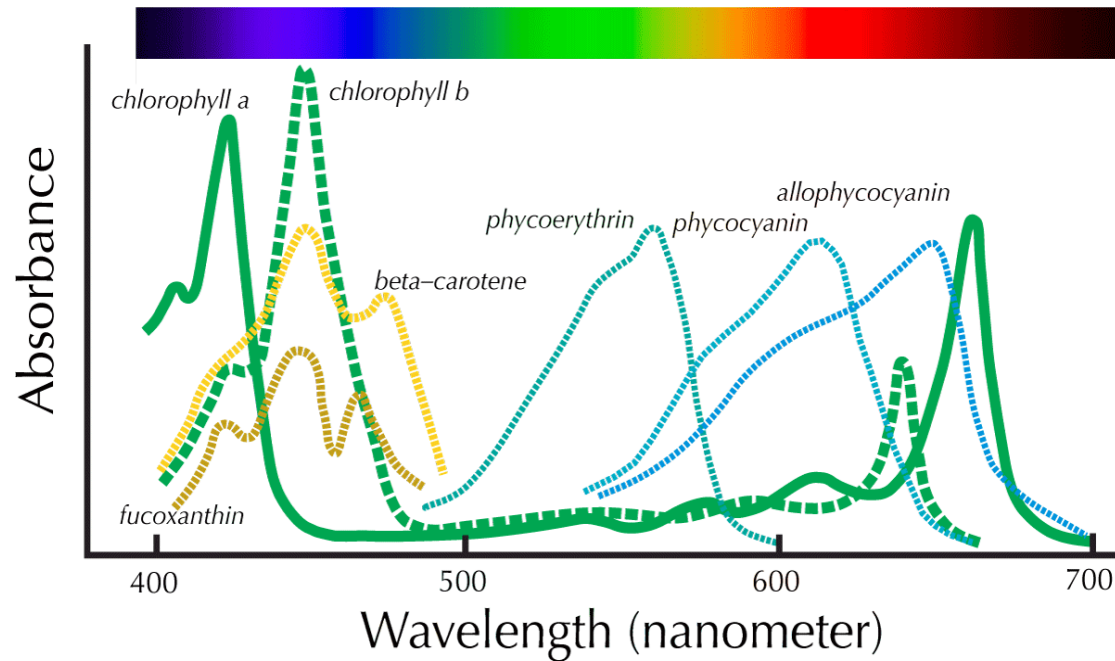
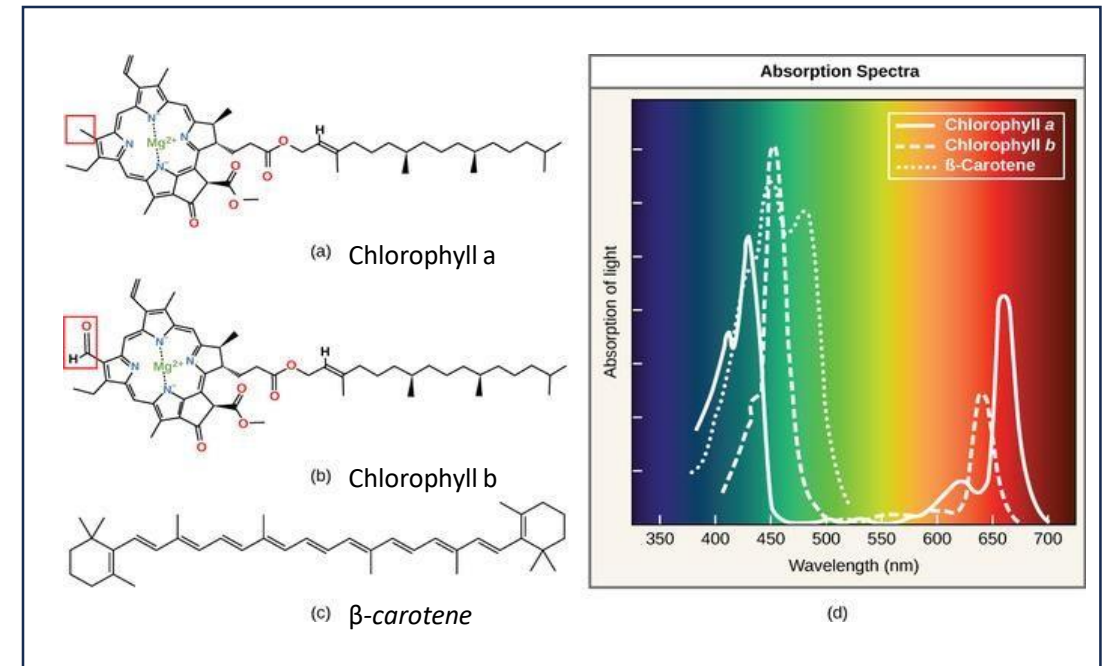


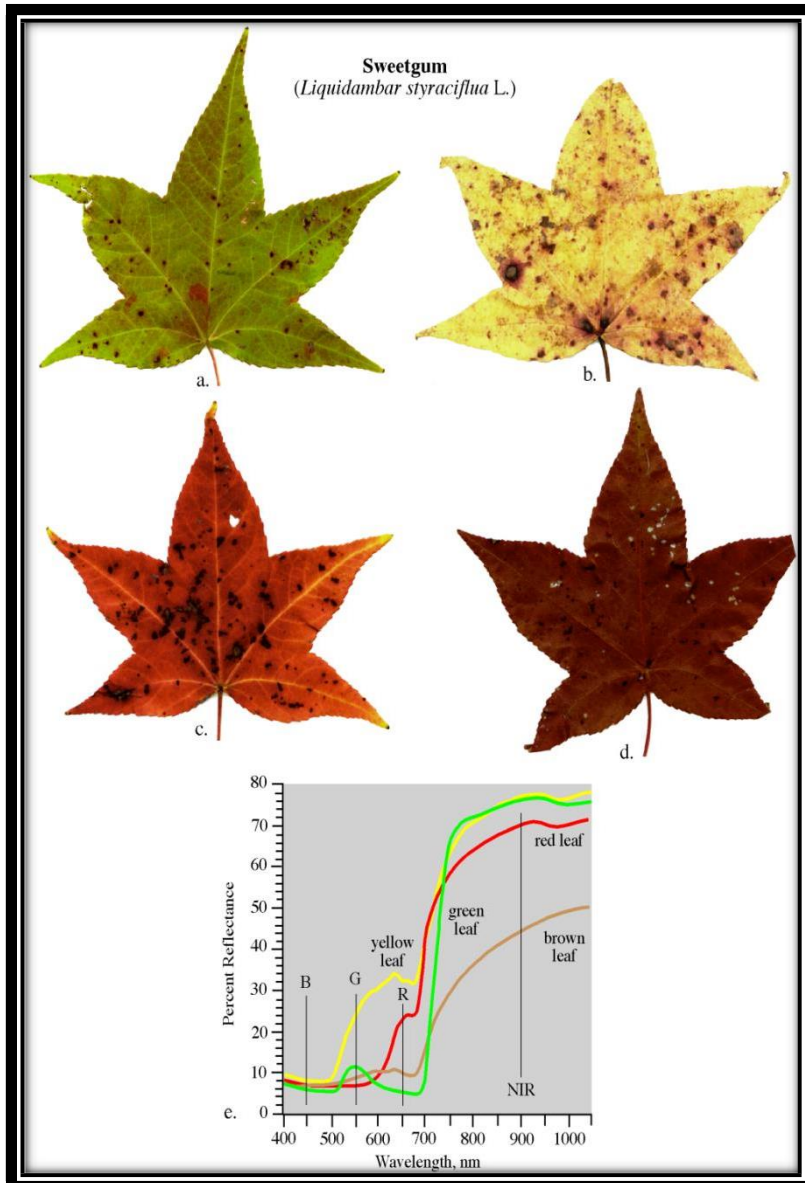
Figure from <http://www.yorku.ca/planters/photosynthesis>

- ◆ Chl *a* absorption peaks at 430 and 660 nm.
- ◆ Chl *b* absorption peaks at 450 and 650 nm.

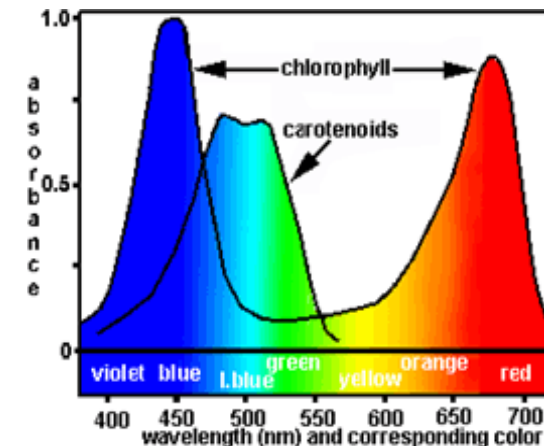
**Q1: what is the difference between pigment absorbance spectra and leaf reflectance spectra?**



# Spectral reflectance properties of Sweetgum leaves under different stages

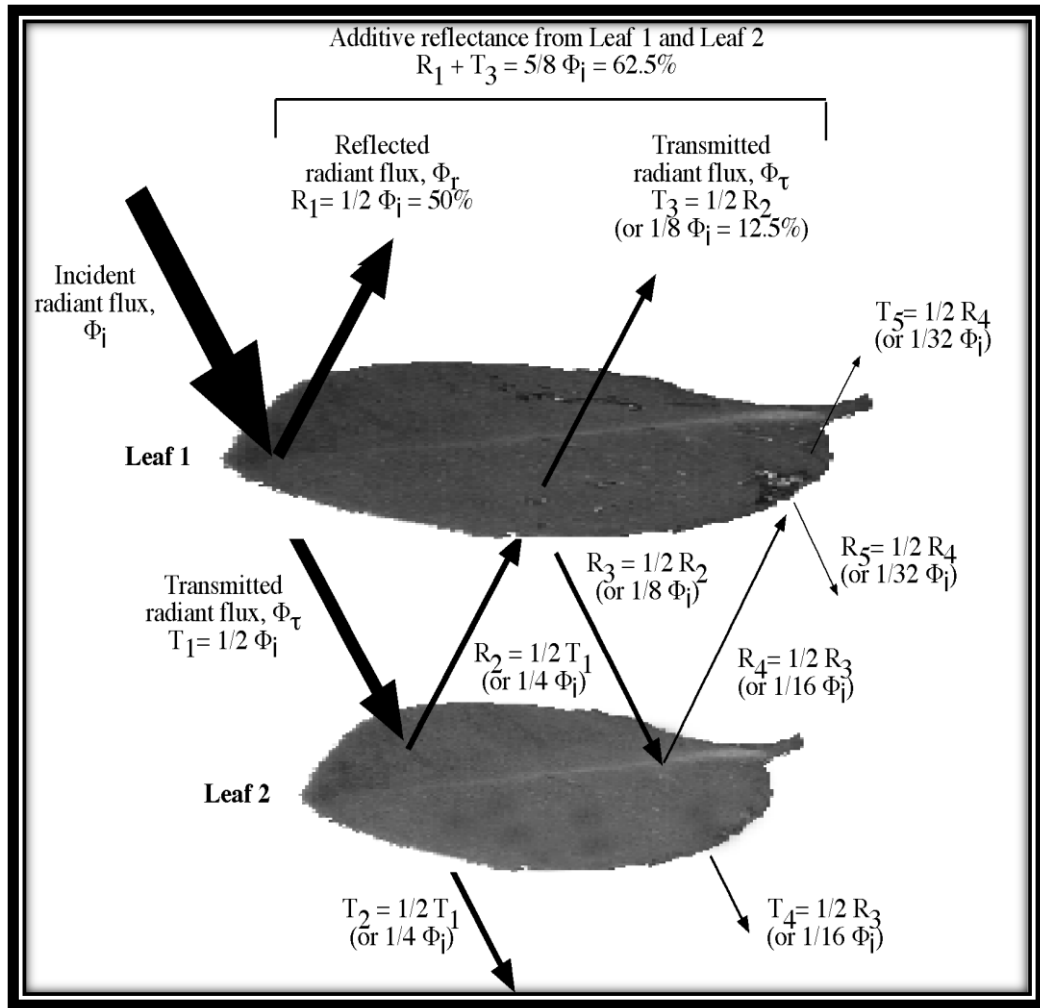


- Four different leaves obtained from a single healthy Sweetgum tree:
  - a. Green: photosynthesizing
  - b. Yellow: senescing (chls degrade)
  - c. Red: senescing (cars synthesized)
  - d. Brown: senesced (on the ground)
- Chl *a+b* dominate during the green-up period, while carotenoids and other pigments dominate during senescence.



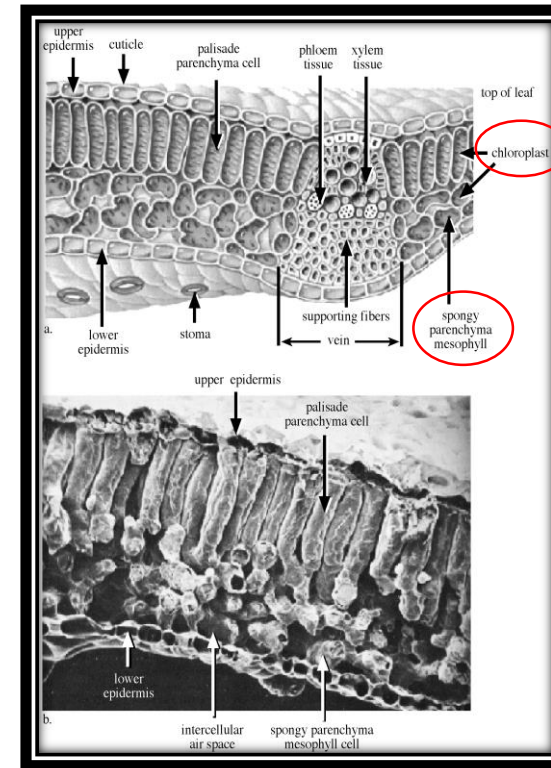


# Controlling factor: *leaf structure*



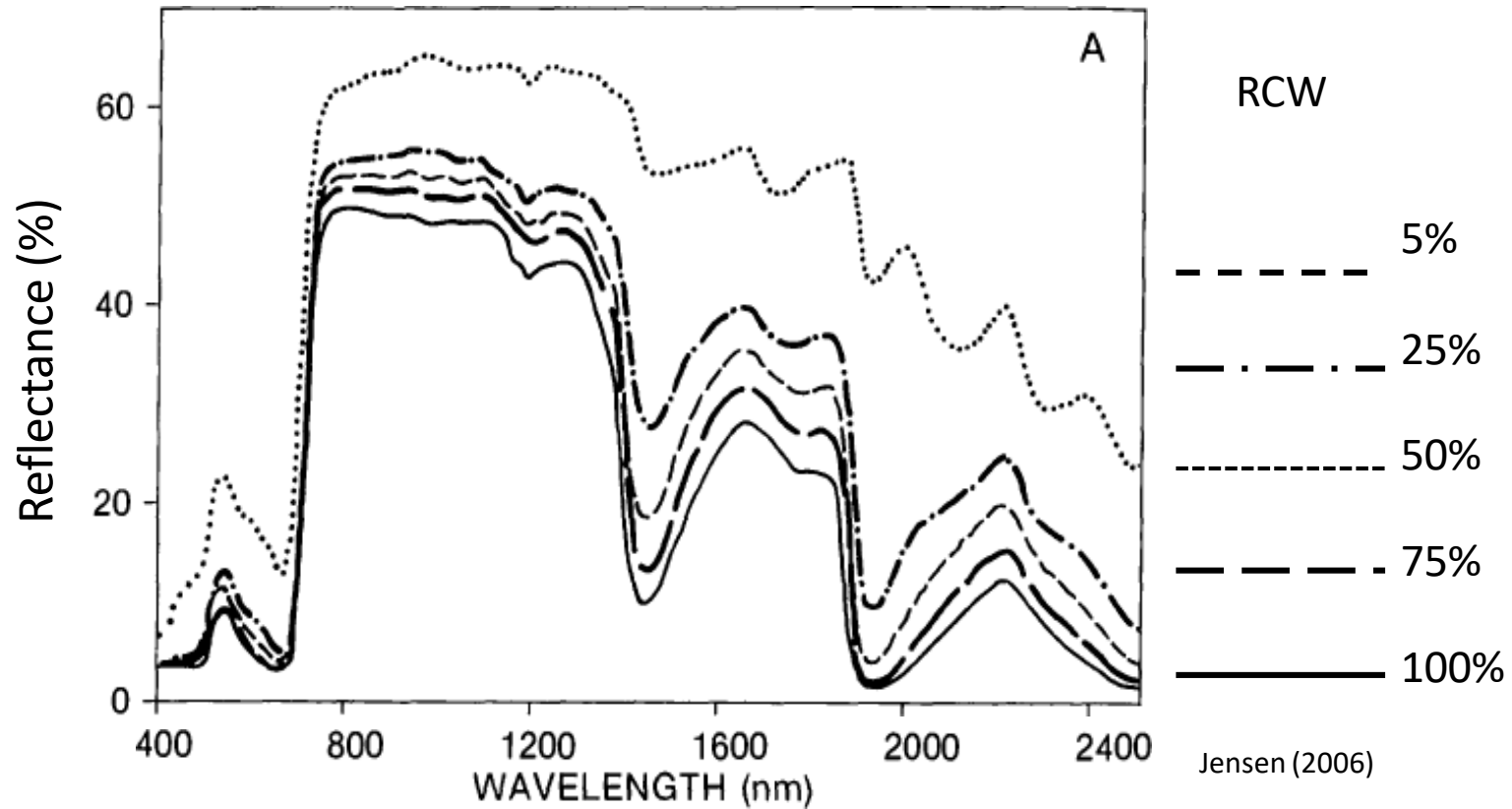
Hypothetical additive NIR reflectance from a canopy of two leaf layers

- Internal scattering of NIR energy within and between leaves
- More leaf layers in a healthy, mature canopy may lead to increased NIR reflectance.



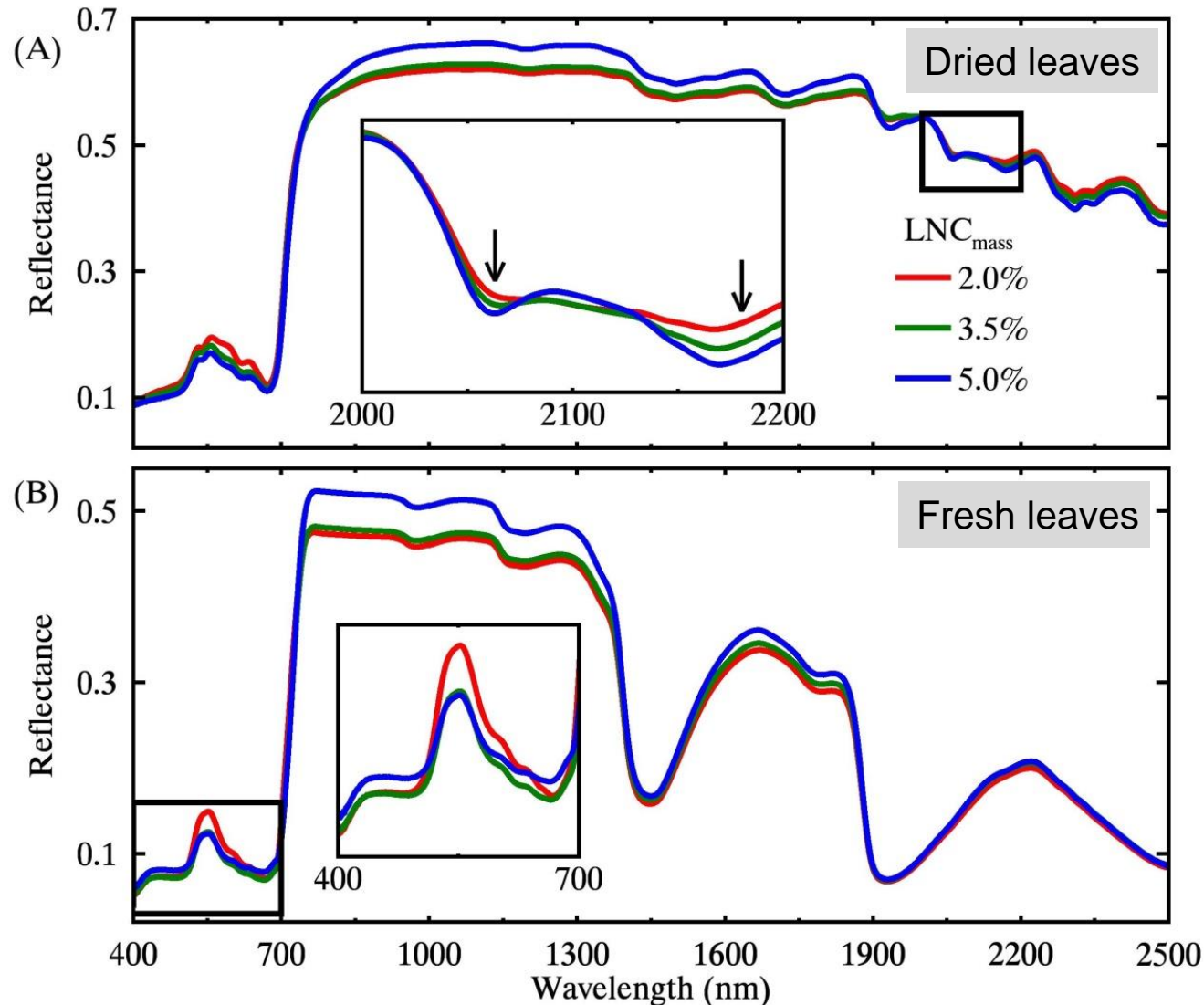
Leaf internal structure

# Controlling factor: *leaf water content*



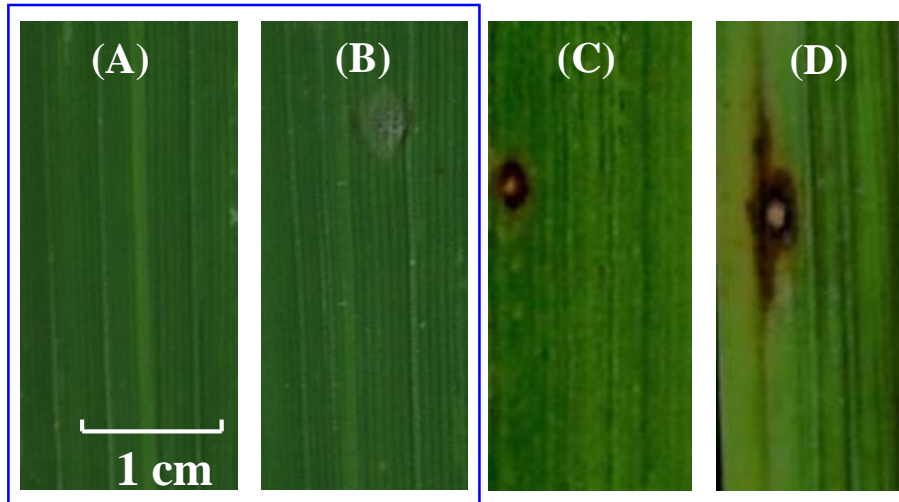
- Leaf reflectance changes in response of decreasing relative water content

# Controlling factor: *leaf water content*

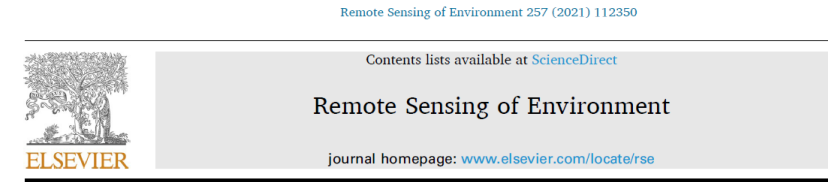


- For **dried leaves**, the absorption features of **dry matter** (e.g., protein, cellulose, lignin) are **apparent** in the shortwave infrared (SWIR) region.
- For **fresh leaves**, the **weak** absorption features of dry matter are mostly gone. They are **masked** by **strong** water absorption features.

# Leaf spectral responses to rice blast damage



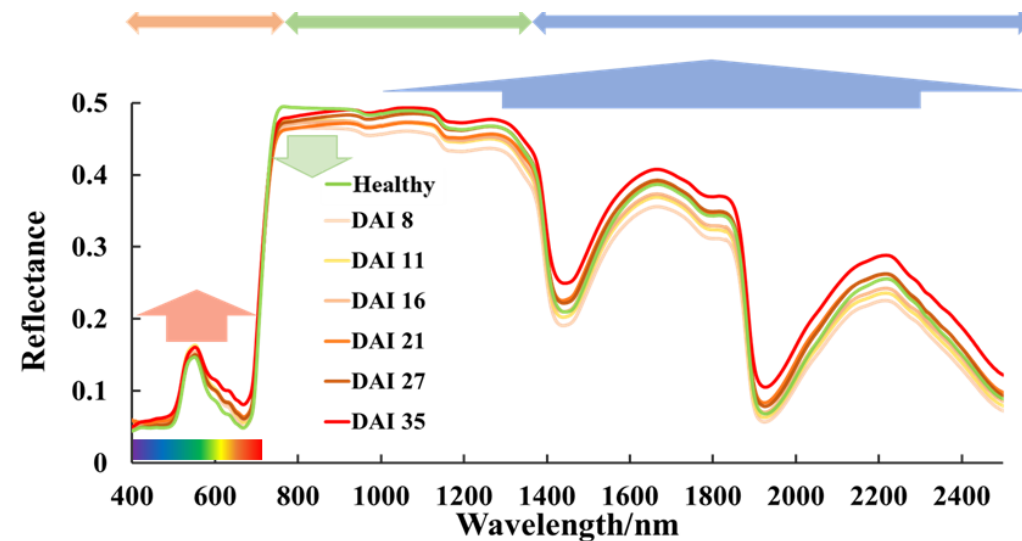
(A) Asymptomatic (B) Early infection (C) Mild infection (D) Severe infection



Spectroscopic detection of rice leaf blast infection from asymptomatic to mild stages with integrated machine learning and feature selection

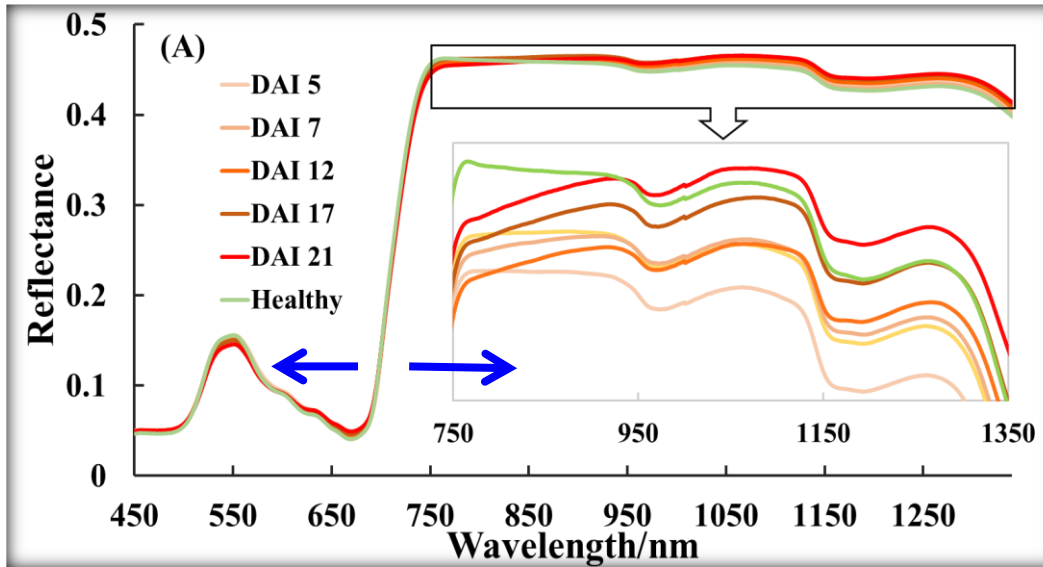
Long Tian, Bowen Xue, Ziyi Wang, Dong Li, Xia Yao, Qiang Cao, Yan Zhu, Weixing Cao, Tao Cheng\*

National Engineering and Technology Center for Information Agriculture, MOE Engineering Research Center of Smart Agriculture, MARA Key Laboratory of Crop System Analysis and Decision Making, Jiangsu Key Laboratory for Information Agriculture, Nanjing Agricultural University, One Weigang, Nanjing, Jiangsu 210095, China

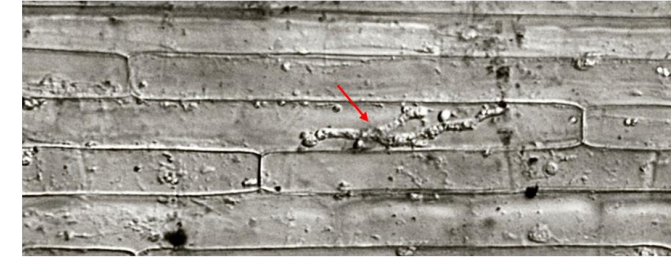


Q2: how did leaf reflectance respond to the rice leaf blast damage?

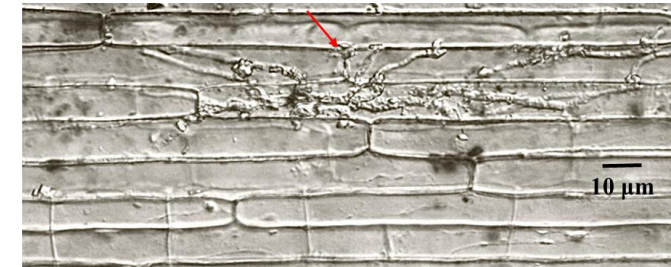
# Leaf spectral responses to rice blast damage



(A) 侵染早期叶鞘表皮细胞显微图



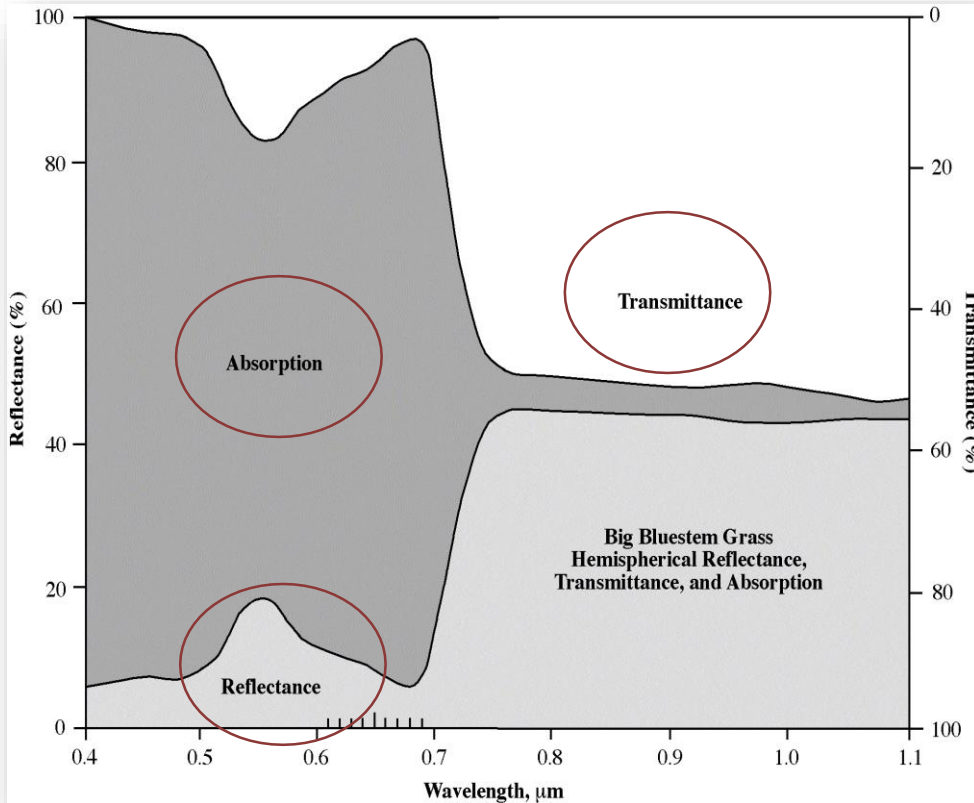
(B) 温和侵染期叶鞘表皮细胞显微图



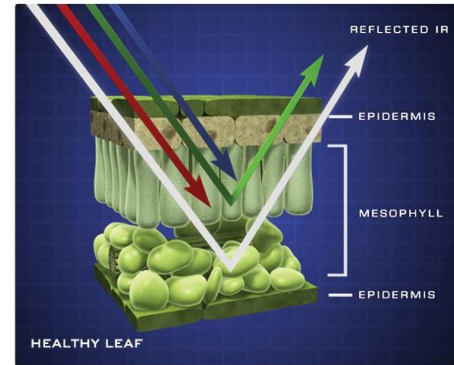
Micrograph of RB mycelia (denoted by red arrows) interacting with rice cells at the early (A) and mild (B) infection stages, respectively.

- The changes in leaf **internal structure** induced reflectance **decreases** in the NIR region (750-950 nm).
- The changes in leaf **chlorophyll content** caused a **shifting** of red edge to **shorter** wavelengths.

# Leaf optical properties

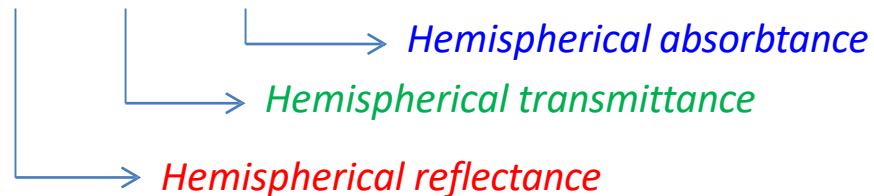


Jensen (2006)

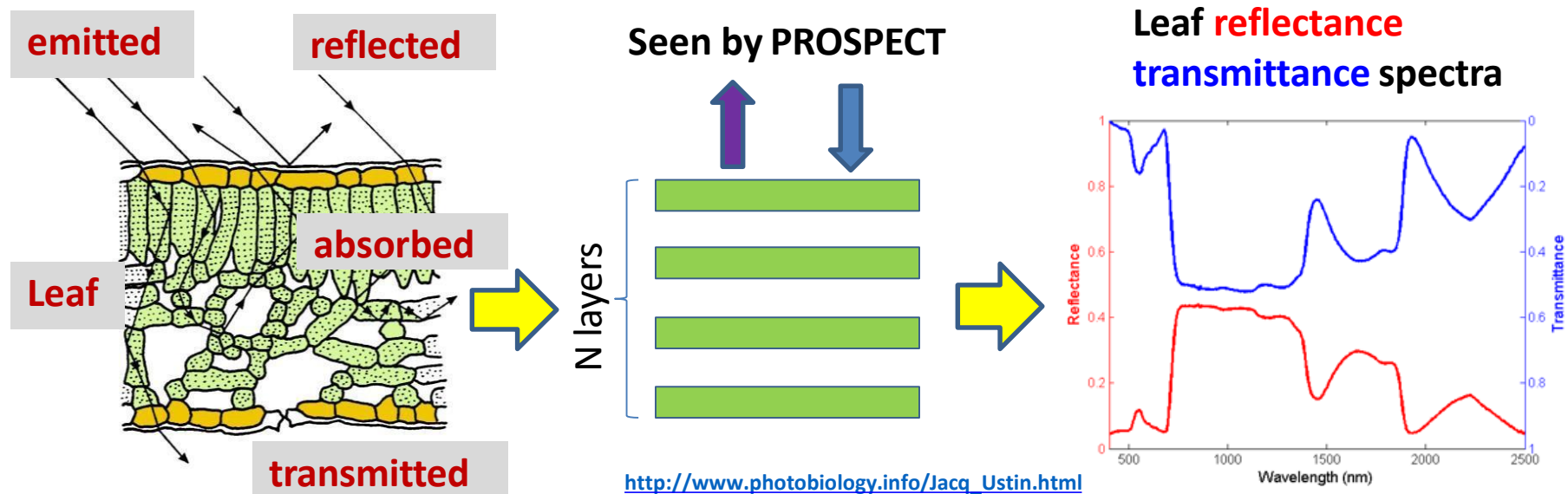


<http://www.nasa.gov>

$$1 = \rho_{\lambda} + \tau_{\lambda} + \alpha_{\lambda}$$



# PROSPECT: the leaf optical properties model



Jacquemoud & Ustin, 2008

REMOTE SENS. ENVIRON. 34:75-91 (1990)

Remote Sensing of Environment 113 (2009) 556-566



## PROSPECT: A Model of Leaf Optical Properties Spectra

S. Jacquemoud and F. Baret

INRA, Station de Bioclimatologie, Montfavet, France

*PROSPECT* is a radiative transfer model based on Allen's generalized "plate model" that represents the optical properties of plant leaves from 400 nm to 2500 nm. Scattering is described by a spec-

spectral information obtained on plant canopies from remote sensing techniques is limited by the need of detailed information on leaf optical properties.

## PROSPECT + SAIL models: A review of use for vegetation characterization

Stéphane Jacquemoud<sup>a,\*</sup>, Wout Verhoef<sup>b</sup>, Frédéric Baret<sup>c</sup>, Cédric Bacour<sup>d</sup>, Pablo J. Zarco-Tejada<sup>e</sup>, Gregory P. Asner<sup>f</sup>, Christophe François<sup>g</sup>, Susan L. Ustin<sup>h</sup>

- <sup>a</sup> Institut de Physique du Globe de Paris & Université Paris Diderot (UMR 7154), Géophysique spatiale et planétaire, Case 7011, 35 rue Hélène Brion, 75013 Paris, France
- <sup>b</sup> National Aerospace Laboratory, NLR, Voorsterweg 31, 8316 PR Marknesse, The Netherlands
- <sup>c</sup> INRA, Unité Environnement Méditerranéen et Modélisation des Agro-Hydrosystèmes (UMR1134), Domaine St Paul, Site Agroparc, 84934 Avignon Cedex 09, France
- <sup>d</sup> Laboratoire des Sciences du Climat et l'Environnement (CEA/CNRS/UVSQ), L'Orme des Merisiers, Bât. 701, 91191 Gif-sur-Yvette Cedex, France
- <sup>e</sup> Instituto de Agricultura Sostenible, (IAS), Consejo Superior de Investigaciones Científicas (CSIC), Alameda del Obispo s/n, 14004 Córdoba, Spain
- <sup>f</sup> Department of Global Ecology, Carnegie Institution of Washington, 260 Panama Street, Stanford, CA 94305, USA
- <sup>g</sup> Laboratoire Ecologie, Systématique et Evolution (UMR 8079), Université Paris-Sud, 91405 Orsay Cedex, France
- <sup>h</sup> Center for Spatial Technologies and Remote Sensing, Department of Land, Air, and Water Resources, University of California, Davis, CA 95616, USA

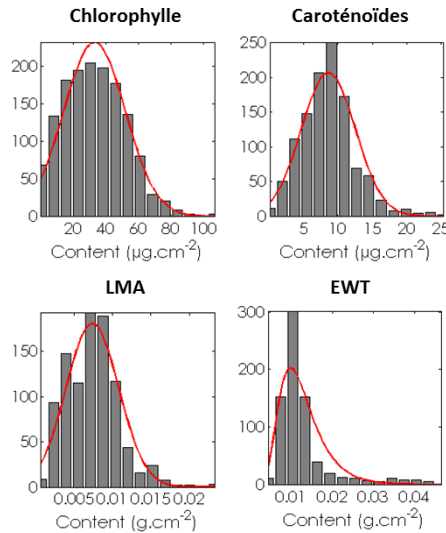
### ARTICLE INFO

Article history:  
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Received in revised form 18 January 2008  
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### ABSTRACT

The combined PROSPECT leaf optical properties model and SAIL canopy bidirectional reflectance model, also referred to as PROSAIL, has been used for about sixteen years to study plant canopy spectral and directional reflectance in the solar domain. PROSAIL has also been used to develop new methods for retrieval of vegetation biophysical properties. It links the spectral variation of canopy reflectance, which is mainly related to leaf biochemical contents with its directional variation which is primarily related to canopy architecture.

# PROSPECT: the leaf optical properties model

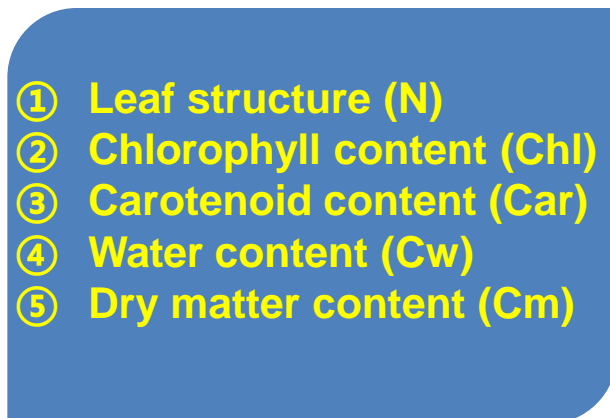


Feret et al., 2011

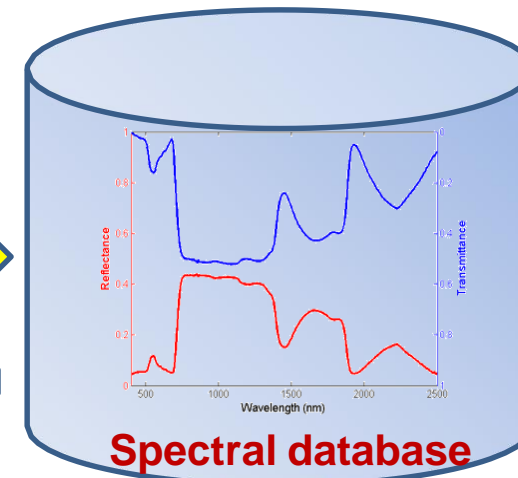
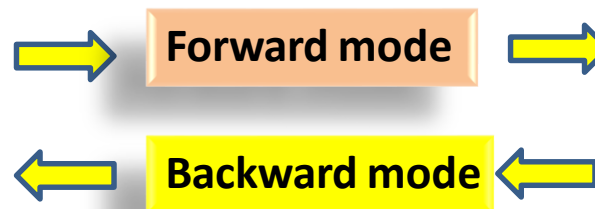


## Uses:

- ① Optimization of spectral indices
- ② Understanding of leaf optical properties
- ③ Coupling with canopy radiative transfer models (e.g., SAIL)



Input variables



Output



Parameter	Name	Unit	Range
① N	Leaf structure parameter	dimensionless	1.0 ~ 3.0
② Chl	Chlorophyll content	$\mu\text{g}/\text{cm}^2$	0 ~ 100
③ Car	Carotenoids content	$\mu\text{g}/\text{cm}^2$	0 ~ 25
④ Cb	Brown pigment content	(arbitrary units)	0 ~ 1
⑤ Cw	Water content	cm	0 ~ 0.05
⑥ Cm	Dry matter content	$\text{g}/\text{cm}^2$	0 ~ 0.02

<http://opticleaf.ipgp.fr/index.php?page=database> accessed in November 2022

The screenshot shows the OPTICLEAF website interface. At the top, there is a banner with the text "OPTICLEAF The database on leaf optical properties" and logos for IPGP and PARIS SACLAY UNIVERSITY. Below the banner, there is a navigation menu on the left with buttons for Home, Search, Models, Databases, PROSPECT, Links, Contact, and Admin. The main content area features a form titled "Complete all the inputs before to run prospect" with the following fields and ranges:

- Leaf structure parameter: 1.2 (range: 1.0 < N < 3.0 dimensionless)
- Chlorophyll content: 30.0 (range: 0 < Cab < 100  $\mu\text{g}/\text{cm}^2$ )
- Carotenoid content: 10.0 (range: 0 < Cxc < 25  $\mu\text{g}/\text{cm}^2$ )
- Brown pigments: 1.0 (range: 0 < Cb < 1 (arbitrary units))
- Equivalent water thickness: 0.015 (range: 0 < EWT < 0.05 cm)
- Leaf mass per unit area: 0.009 (range: 0 < LMA < 0.02  $\text{g}/\text{cm}^2$ )

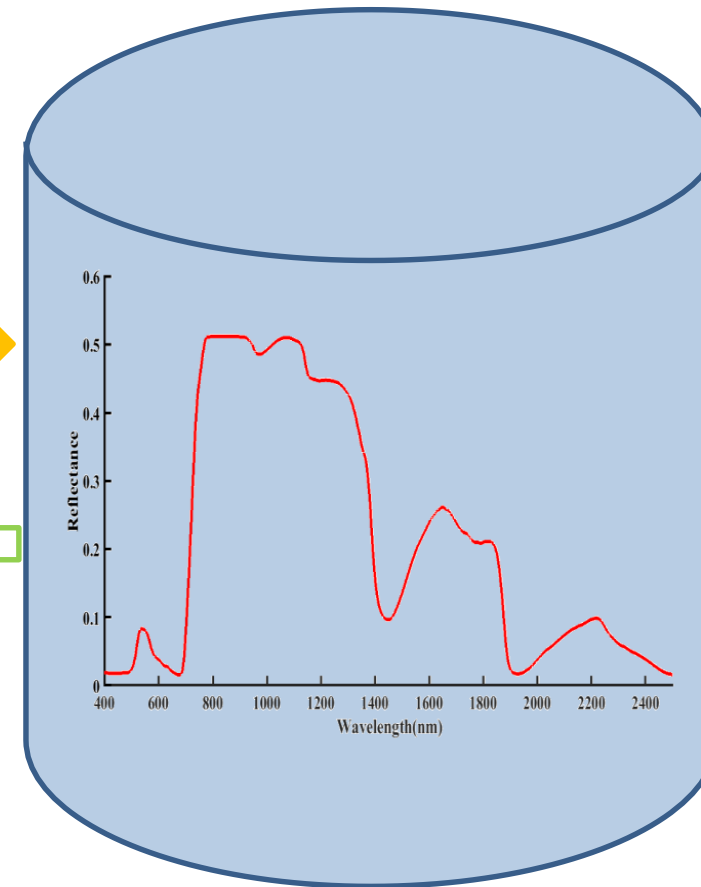
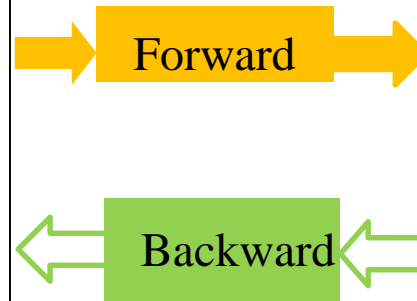
A "Run" button is located below the form. At the bottom left, there is a visitor counter showing "92731 visitors".

**Q3: how would leaf reflectance spectra respond to changes in leaf chlorophyll content? Shape, amplitude, or both?**

# 4SAIL

- ① Leaf reflectance and transmittance
- ① Leaf area index (LAI)
- ② Leaf inclination distribution function(LIDF)
- ③ Hot spot parameter( $S_L$ )
- ④ Ratio of diffuse to total incident radiation(SKYL)
- ⑤ Soil reflectance ( $\rho_s$ )
- ⑥ Solar zenith angle ( $\theta_s$ )
- ⑦ Viewing zenith angle ( $\theta_v$ )
- ⑧ Relative azimuth angle( $\varphi_{sv}$ )

Input variables



Output spectrum

- Do not be scared by the complexity of physical models! They just represent an efficient way to generate simulations and perform physical inversions of vegetation parameters.

# How can we get PROSAIL codes?

**PROSPECT + SAIL = PROSAIL**

	Matlab	Fortran	IDL	Python	R	Web interface	GUI
PROSPECT-4	<a href="#">PROSPECT4_Matlab.rar</a>	<a href="#">PROSPECT4_Fortran.rar</a>					
PROSPECT-5	<a href="#">PROSPECT5_Matlab.rar</a>	<a href="#">PROSPECT5_Fortran.rar</a>				<a href="#">PROSPECT5</a>	
PROSPECT-D	<a href="#">PROSPECT-D_Matlab.rar</a>	<a href="#">PROSPECT-D_Fortran.rar</a>					
PROCOSINE	<a href="#">Toolbox_Cosine.rar</a>						
PROSAIL	<a href="#">PROSAIL_5B_Matlab.rar</a>	<a href="#">PROSAIL_5B_Fortran.rar</a>	<a href="#">PROSAIL_5B_IDL.zip</a>	<a href="#">PyProSAIL</a>	<a href="#">HSDAR</a>		<a href="#">ARTMO</a>
PROSPECT-D + SAIL	<a href="#">PROSAIL_D_Matlab.rar</a>	<a href="#">PROSAIL_D_Fortran.zip</a>		<a href="#">PROSAIL-2.0.alpha</a>			
DART							<a href="#">DART</a>
6S		<a href="#">Msixs (Ver 8.0)</a>		<a href="#">Py6S</a>		<a href="#">Web Sixs</a>	

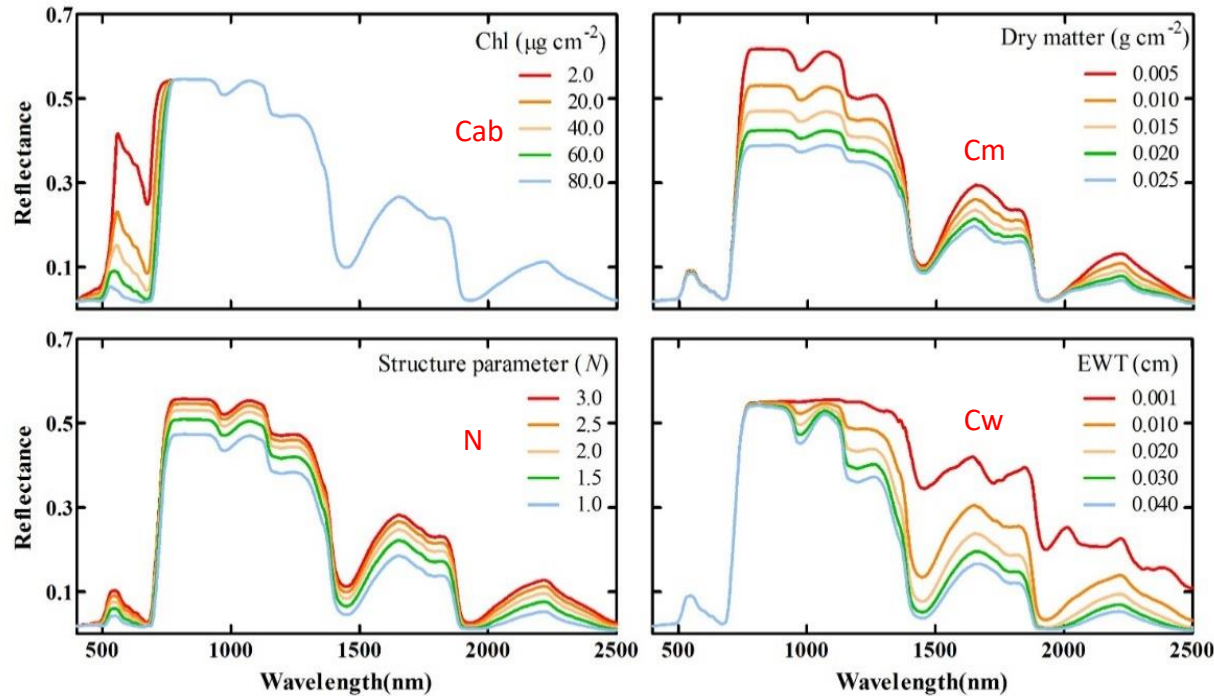
<http://teledetection.ipgp.jussieu.fr/prosail/>

## PROSPECT

- ◆ The combined PROSPECT leaf optical properties model and SAIL canopy bidirectional reflectance model, also referred to as PROSAIL, have been used for about thirty years to study plant canopy spectral and directional reflectance in the solar domain.
- ◆ PROSAIL has also been used to develop new methods for retrieval of vegetation biophysical properties. It links the spectral variation of canopy reflectance, which is mainly related to leaf biochemical contents, with its directional variation, which is primarily related to canopy architecture and soil/vegetation contrast.
- ◆ PROSAIL has become one of the most popular radiative transfer tools due to its ease of use, general robustness, and consistent validation by lab/field/space experiments over the years.

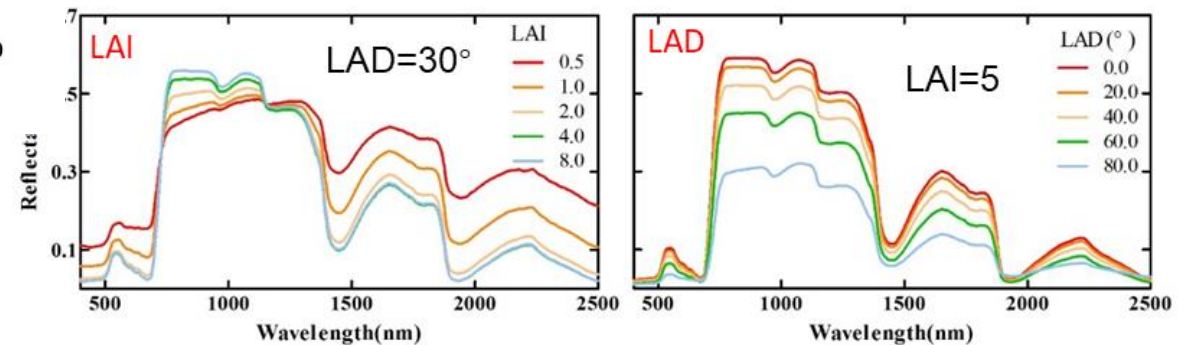
# Variability in leaf and canopy reflectance

## Effect of various factors on leaf reflectance



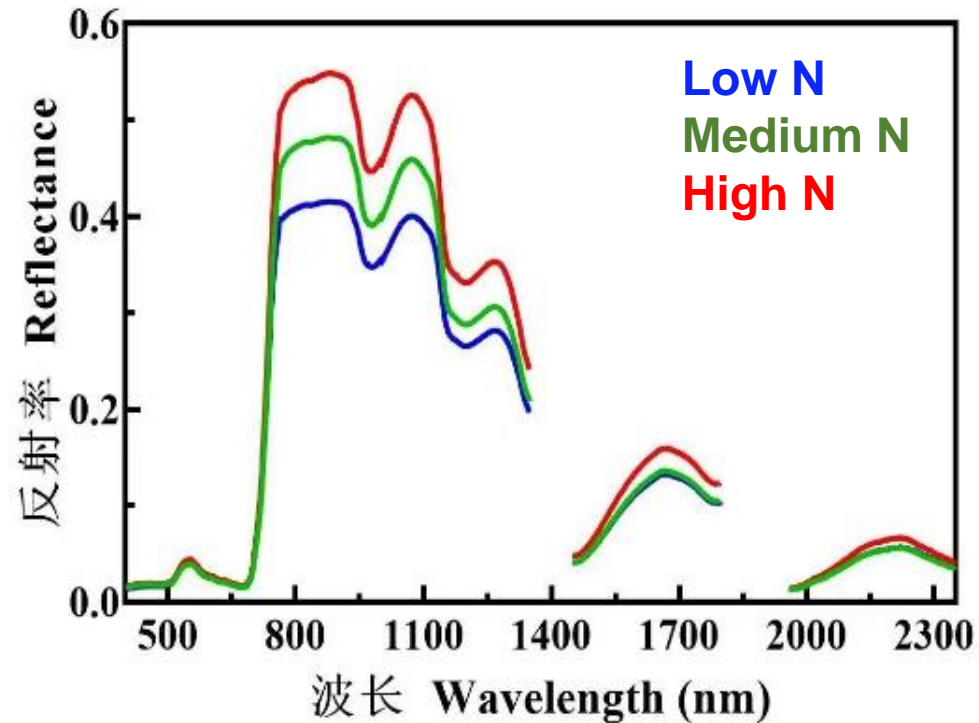
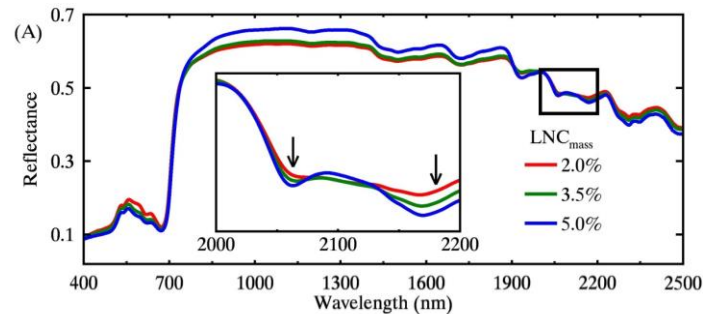
**Q4: what is the main affecting spectral range for each factor?**

## Effect of various factors on canopy reflectance



LAD= $0^\circ$ , horizontal  
LAD= $90^\circ$ , vertical

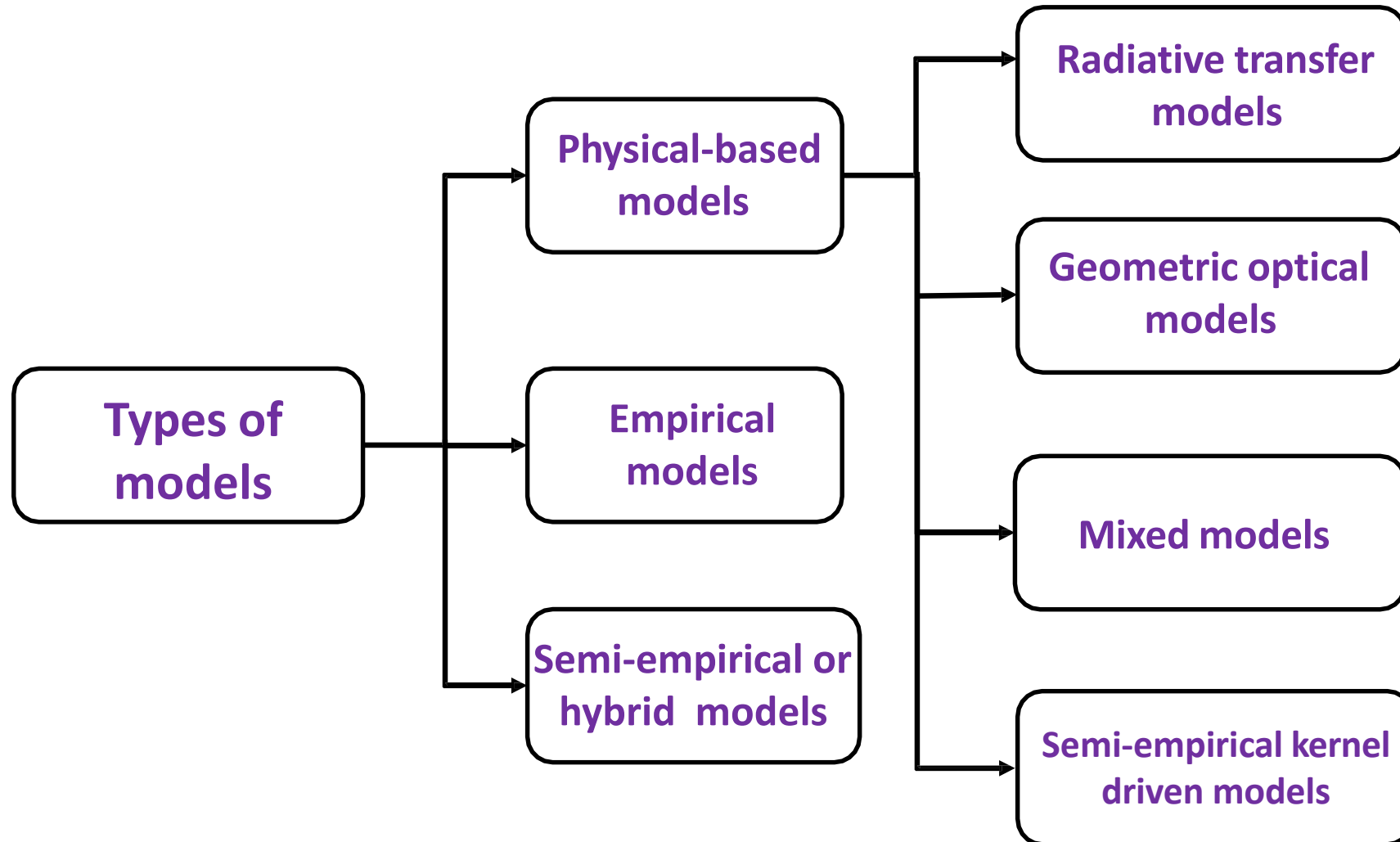
# Masking effect of foliar water on other absorption features in canopy reflectance



- N absorption features are mainly located in the **shortwave infrared** (SWIR) region.
- They are apparent in the reflectance spectra of **dry** leaves (e.g., 2030 & 2180 nm), but not in those of crop **canopies**.

# Models for quantification of vegetation parameters

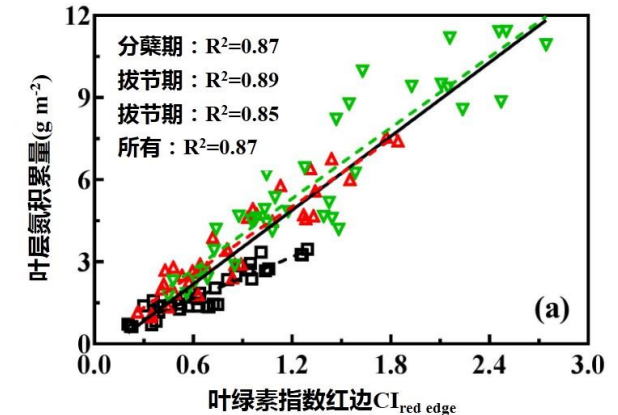
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# Principles of quantitative methods

- **Empirical modeling or data driven**

- $y = ax + b$  ( $x$  is a VI,  $y$  is the parameter to be quantified)
- Practical and easy-to-use
- High accuracy with local models but probably difficult for generalization

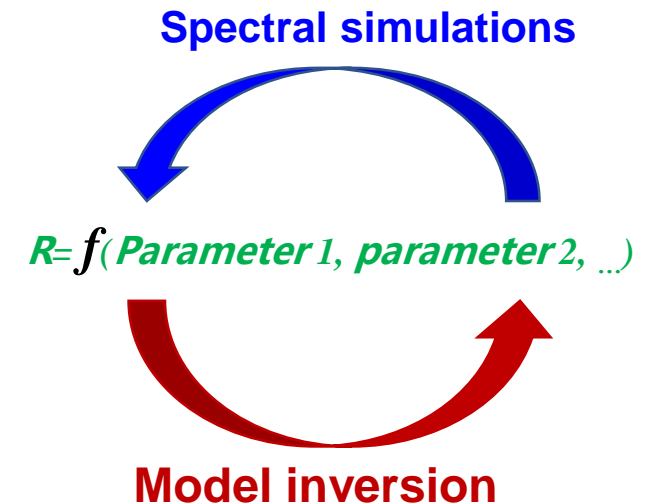


- **Physical modeling**

- Based on PROSPECT or PROSAIL models
- More complicated to use
- Mechanistic and high interpretability

- **Hybrid or semi-empirical modeling**

- Combination of empirical and physical models





Contents lists available at ScienceDirect

Remote Sensing of Environment

journal homepage: [www.elsevier.com/locate/rse](http://www.elsevier.com/locate/rse)

PROCWT: Coupling PROSPECT with continuous wavelet transform to improve the retrieval of foliar chemistry from leaf bidirectional reflectance spectra

Dong Li, Tao Cheng\*, Min Jia, Kai Zhou, Ning Lu, Xia Yao, Yongchao Tian, Yan Zhu, Weixing Cao

National Engineering and Technology Center for Information Agriculture (NETCIA), Key Laboratory of Crop System Analysis and Decision Making, Ministry of Agriculture, Jiangsu Key Laboratory for Information Agriculture, Nanjing Agricultural University, One Weigang, Nanjing, Jiangsu 210095, China

## 1. Physical modeling

## 3. Semi-empirical modeling

Remote Sensing of Environment 282 (2022) 113284



Contents lists available at ScienceDirect

Remote Sensing of Environment

journal homepage: [www.elsevier.com/locate/rse](http://www.elsevier.com/locate/rse)

Assessing a soil-removed semi-empirical model for estimating leaf chlorophyll content

Dong Li<sup>a</sup>, Jing M. Chen<sup>b</sup>, Weiguo Yu<sup>a</sup>, Hengbiao Zheng<sup>a</sup>, Xia Yao<sup>a</sup>, Weixing Cao<sup>a</sup>, Dandan Wei<sup>c</sup>, Chenchao Xiao<sup>c</sup>, Yan Zhu<sup>a,\*</sup>, Tao Cheng<sup>a,\*</sup>

<sup>a</sup> National Engineering and Technology Center for Information Agriculture (NETCIA), MARA Key Laboratory of Crop System Analysis and Decision Making, MOE Engineering Research Center of Smart Agriculture, Jiangsu Key Laboratory for Information Agriculture, Institute of Smart Agriculture, Nanjing Agricultural University, One Weigang, Nanjing, Jiangsu 210095, China

<sup>b</sup> Department of Geography and Planning, University of Toronto, Toronto, Ontario, Canada

<sup>c</sup> Land Satellite Remote Sensing Application Center, Ministry of Natural Resource of the People's Republic of China, Beijing 100048, China

# Our recent publications

## 2. Empirical modeling

Remote Sensing of Environment 231 (2019) 111240



Contents lists available at ScienceDirect

Remote Sensing of Environment

journal homepage: [www.elsevier.com/locate/rse](http://www.elsevier.com/locate/rse)

Assessment of unified models for estimating leaf chlorophyll content across directional-hemispherical reflectance and bidirectional reflectance spectra

Dong Li, Long Tian, Zefu Wan, Min Jia, Xia Yao, Yongchao Tian, Yan Zhu, Weixing Cao\*, Tao Cheng\*

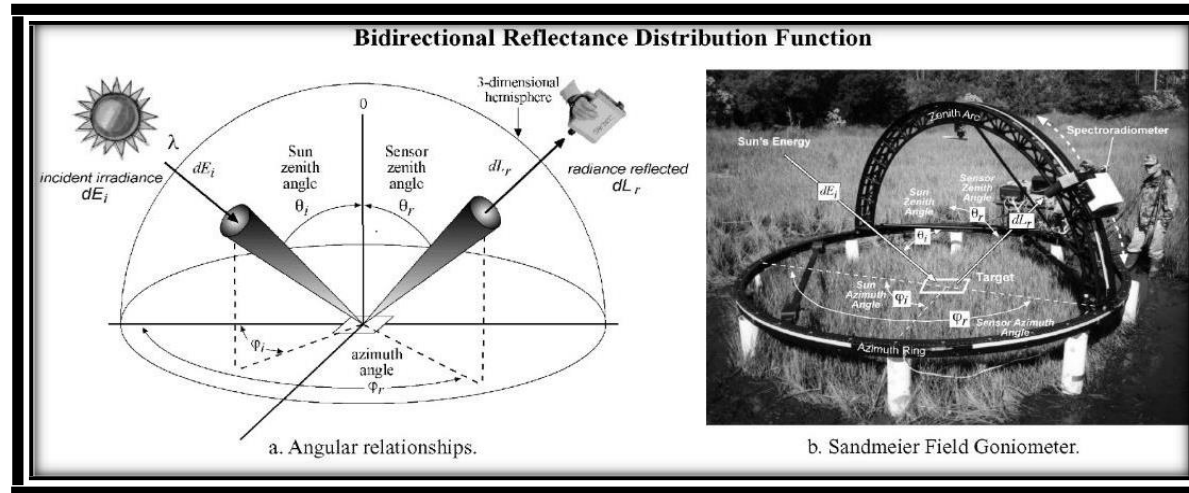
National Engineering and Technology Center for Information Agriculture (NETCIA), MARA Key Laboratory of Crop System Analysis and Decision Making, Jiangsu Key Laboratory for Information Agriculture, Nanjing Agricultural University, One Weigang, Nanjing, Jiangsu 210095, China

**Q5: why did we study all these three types of models?**



# Bidirectional Reflectance Distribution Function (BRDF)

- Canopy reflectance is dependent on sensor-target-sun geometry.



Goniometer,  
designed by  
S.Sandmeier

Jensen (2006)

$$BRDF(\theta_i, \phi_i; \theta_r, \phi_r; \lambda) = \frac{dL_r(\theta_i, \phi_i; \theta_r, \phi_r; \lambda)}{dE_i(\theta_i, \phi_i; \lambda)}$$

Sensor position
↑
↓
Solar position

- ◆ solar zenith angle, solar azimuth angle
- ◆ sensor zenith angle, sensor azimuth angle
- ◆ wavelength

# Bi-directional Reflectance Factor (BRF)

---

- ◆ BRF is the measured radiance reflected from a surface in a specific direction **divided** by the radiance reflected from a Lambertian reference panel measured under the same illumination geometry.

$$BRF(\theta_i, \varphi_i; \theta_r, \varphi_r; \lambda) = \frac{dL_r(\theta_i, \varphi_i; \theta_r, \varphi_r; \lambda)}{dL_{ref}(\theta_i, \varphi_i; \theta_r, \varphi_r; \lambda)} \times R_{ref}(\theta_i, \varphi_i; \theta_r, \varphi_r; \lambda)$$

- ◆ BRF is also a function of sensor position, solar position, and wavelength.

**Q6: what is the difference between BRDF and BRF?**

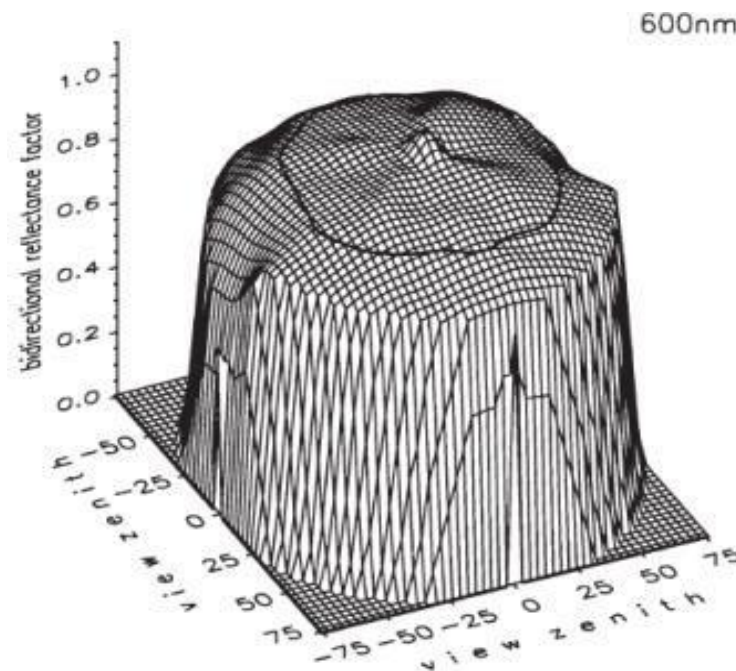
# Anisotropy factor (ANIF)

- It is possible to develop an anisotropy factor (ANIF), which is used to analyze the spectral variability in BRDF data.
- ANIF means we can **normalize** the reflectance **at different angles relative to the reflectance at the nadir position.**

$$ANIF(\theta_i, \varphi_i; \theta_r, \varphi_r; \lambda)$$

$$= \frac{R(\theta_i, \varphi_i; \theta_r, \varphi_r; \lambda)}{R_0(\theta_i, \varphi_i; \lambda)}$$

**Nadir-normalized BRDF data.**



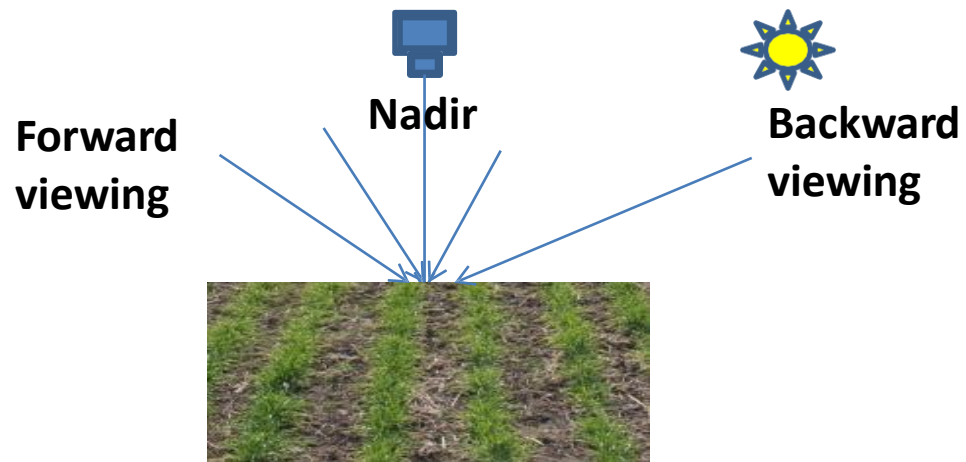
**BRDF shape**

**ANIF of a Spectralon panel**

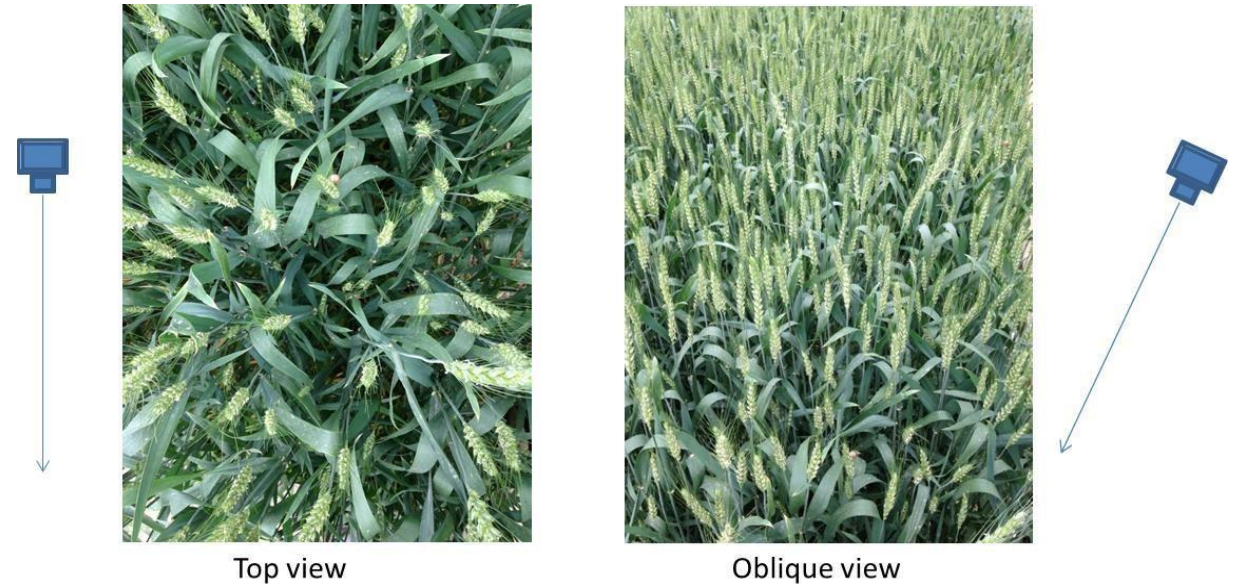
Sandmeier et al. (1998b)

# Viewing geometry

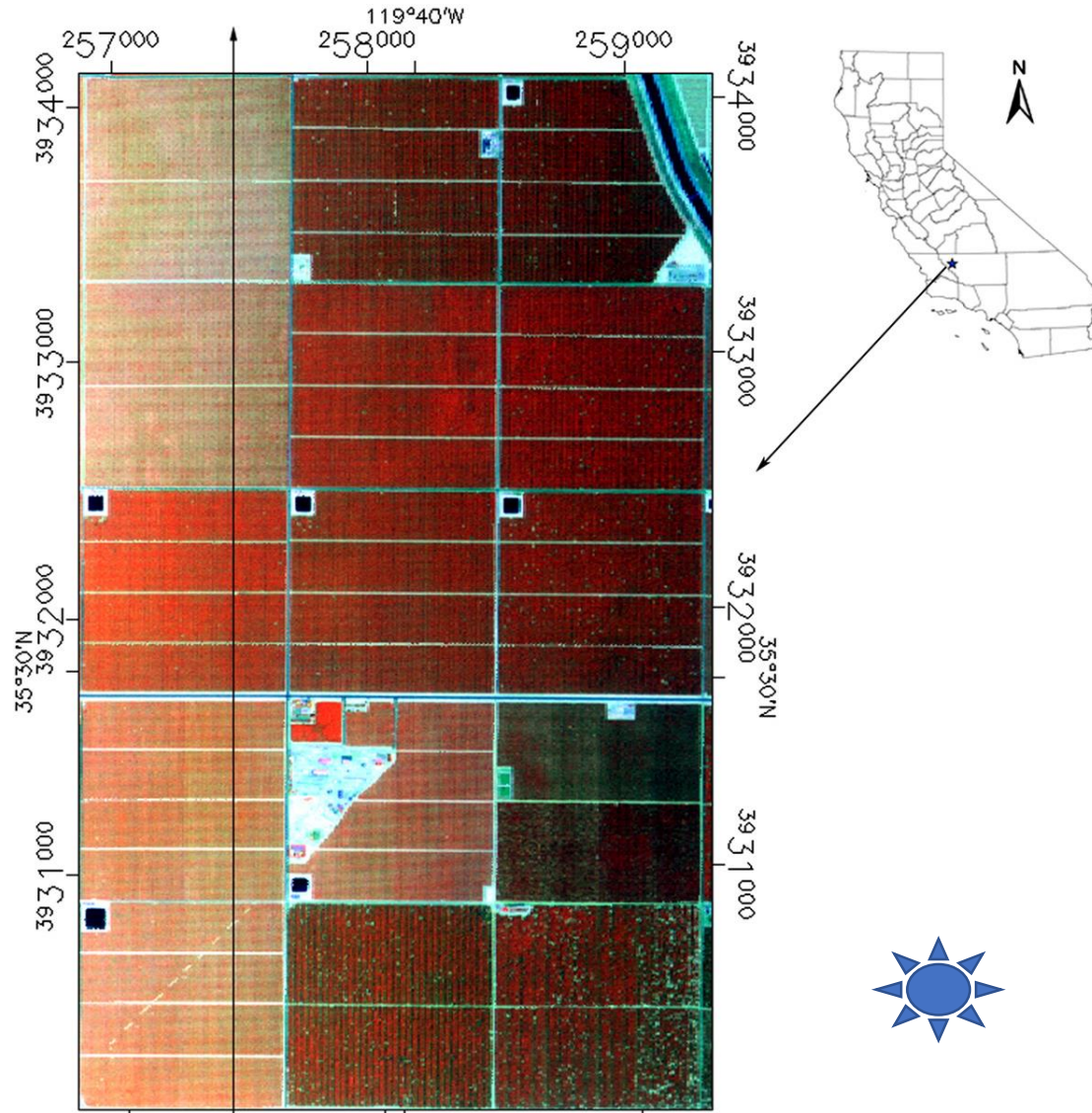
- **Vegetation canopies are not Lambertian surfaces.**



- **Most satellite instruments acquire nadir observations to reduce the BRDF effect.**



# An example of the BRDF effect

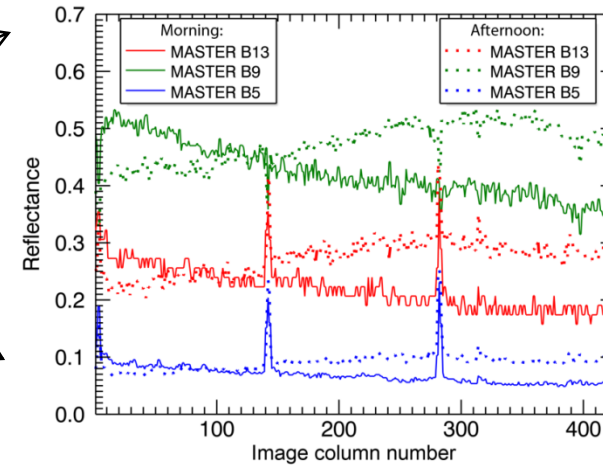
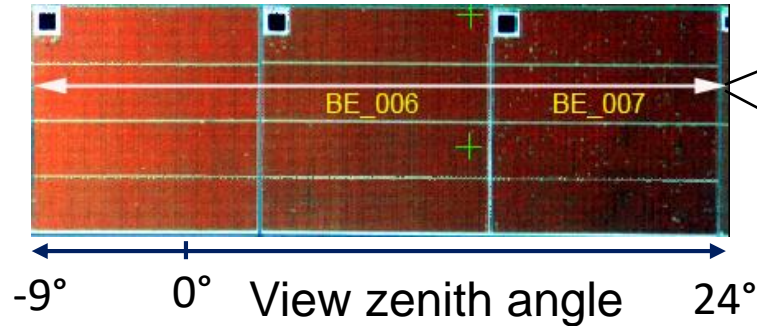


- A MASTER image acquired in the morning (11am local) looks brighter in the backward direction.

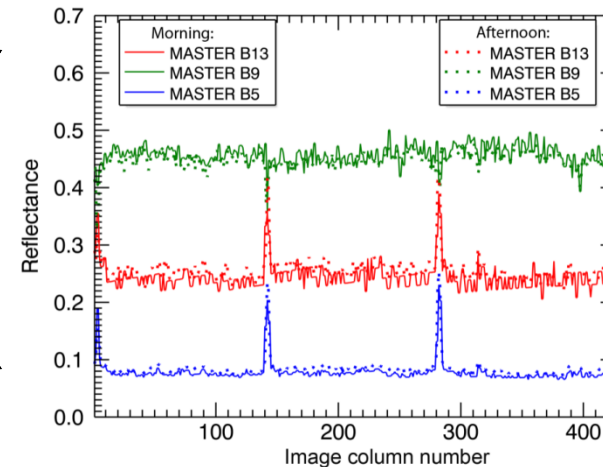
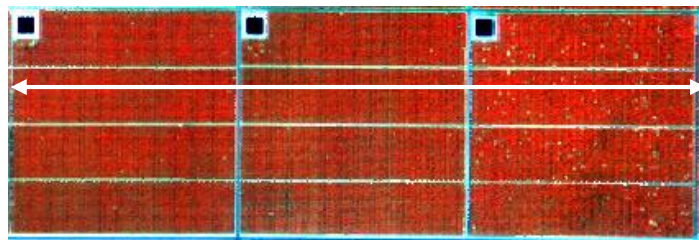
**Q7: The image looks brighter in the right side than in the left side. Why?**

# The effect of view angle on canopy reflectance

Before correction (morning image)



After correction (morning image)



- **Empirical correction:**
  - **Modeling reflectance as a function of view zenith angle**
  - **Correct for the cross-track brightness gradient**

# Vegetation indices

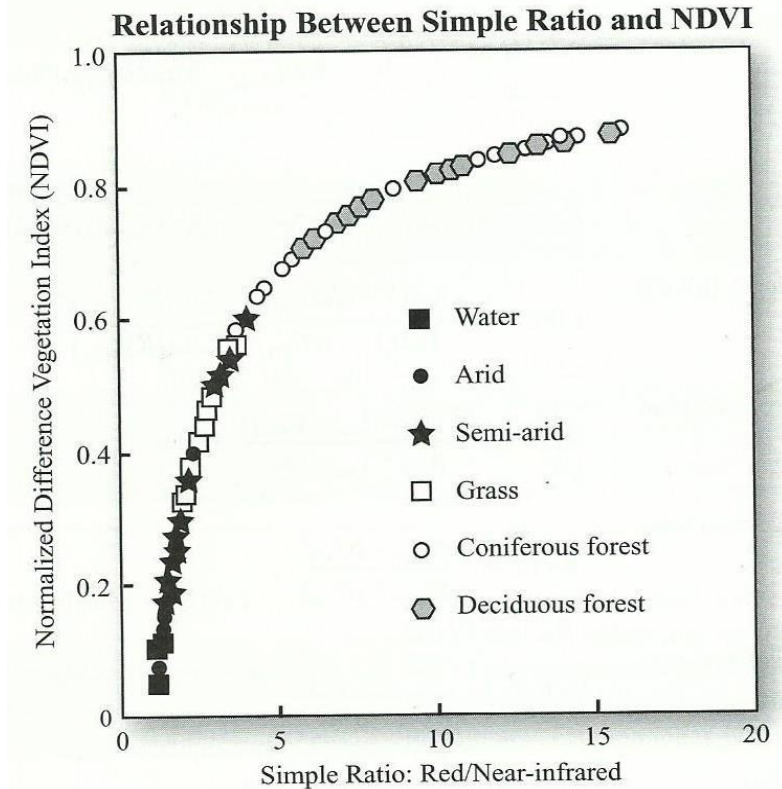
- **Simple Ratio - SR**

- ◆  $SR = \frac{\rho_{red}}{\rho_{nir}}$  (Cohen, 1991)

- **Normalized Difference Vegetation Index – NDVI**

- ◆  $NDVI = \frac{\rho_{red} - \rho_{nir}}{\rho_{red} + \rho_{nir}}$  (Rouse, 1974)

- **SR and NDVI are one-to-one.**
- **Many others have been developed in the past few decades.**



Note the sensitivities of NDVI in high-biomass and low-biomass zones.

# Advantages and disadvantages of NDVI

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- **Advantages:**

- NDVI can be used to monitor vegetation changes in seasonal and inter-annual cycles.
- NDVI helps reduce multiplicative noise (illumination differences, cloud shadows, etc)

- **Disadvantages:**

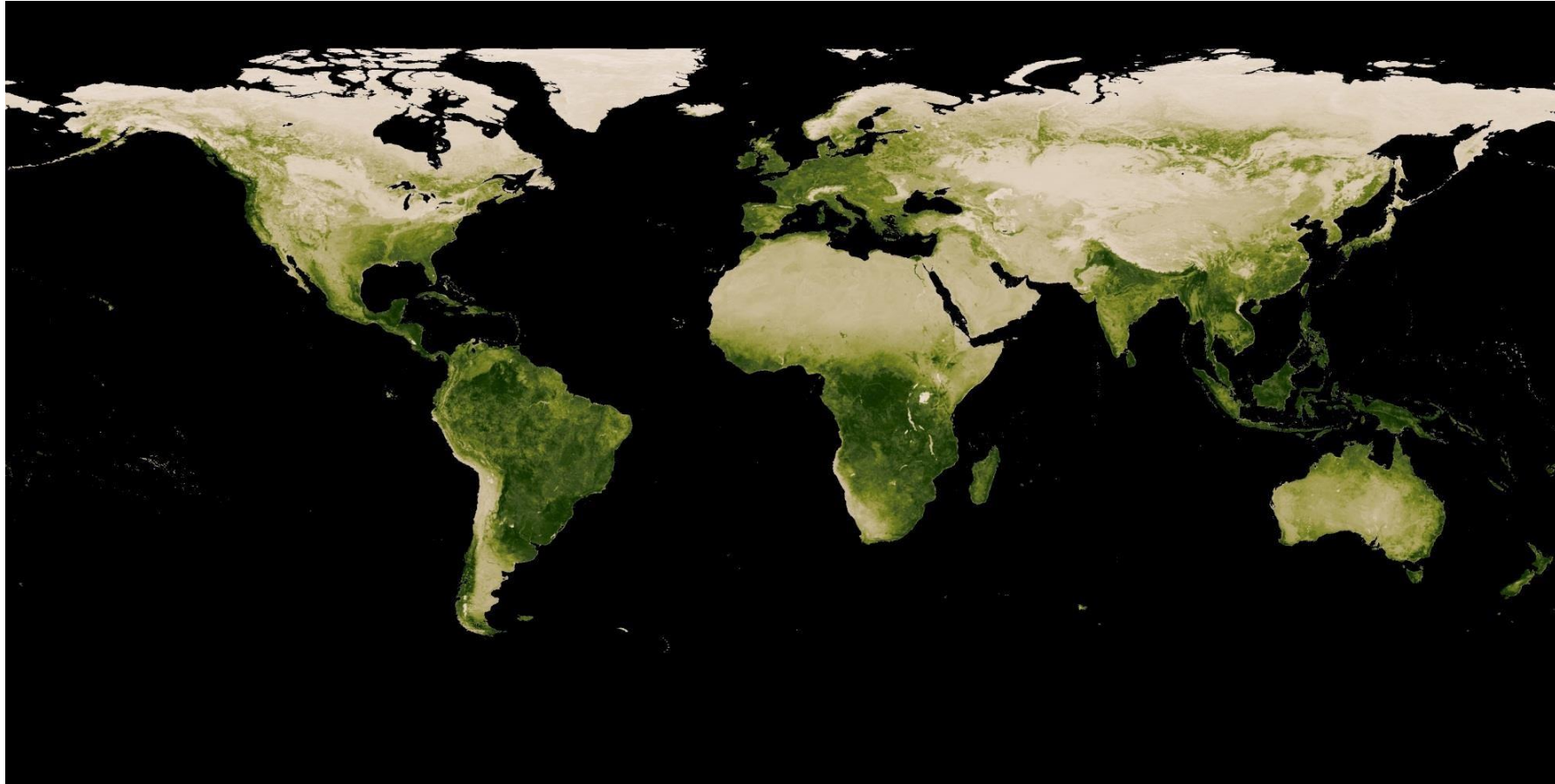
- NDVI can be influenced by additive noise effects (path radiance)
- NDVI is sensitive to LAI but tends to saturate when LAI is high ( $>3$ ).
- NDVI is sensitive to canopy background (e.g., soil variations)

**NDVI is still widely used and long term records of NDVI are available.**



# Global NDVI map

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**NDVI is used as a measure of greenness or vegetation vigor.**

Images by Reto Stockli, NASA's Earth Observatory Group, using data provided by the MODIS Land Science Team.

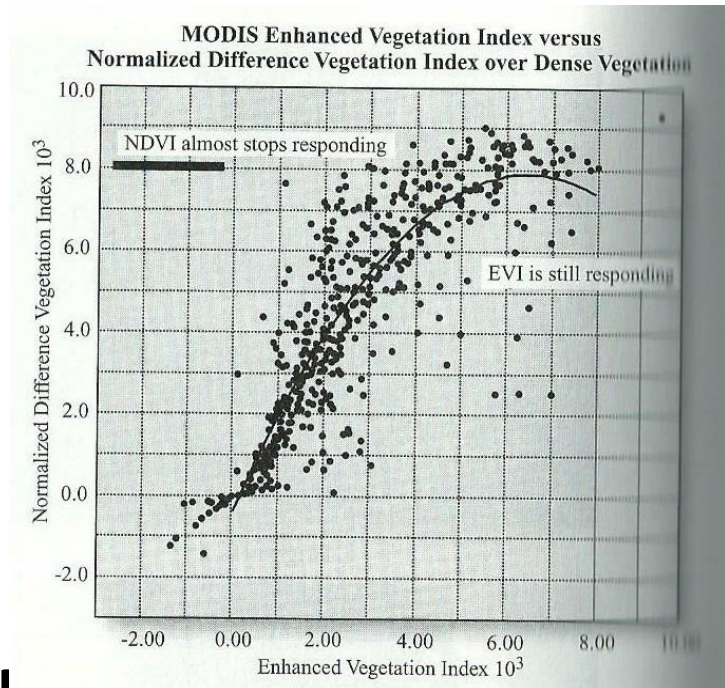
Figure from [http://neo.sci.gsfc.nasa.gov/view.php?datasetId=MOD13A2\\_M\\_NDVI](http://neo.sci.gsfc.nasa.gov/view.php?datasetId=MOD13A2_M_NDVI)

# Enhanced vegetation index - EVI

- Developed by a MODIS science team:

$$EVI = 2.5 \times \frac{\rho_{nir} - \rho_{red}}{\rho_{nir} + 6.0 \times \rho_{red} - 7.5 \times \rho_{blue} + 1}$$

- has improved sensitivity to high-biomass regions
- is less sensitive to canopy background and atmospheric influences



Jensen (2006)

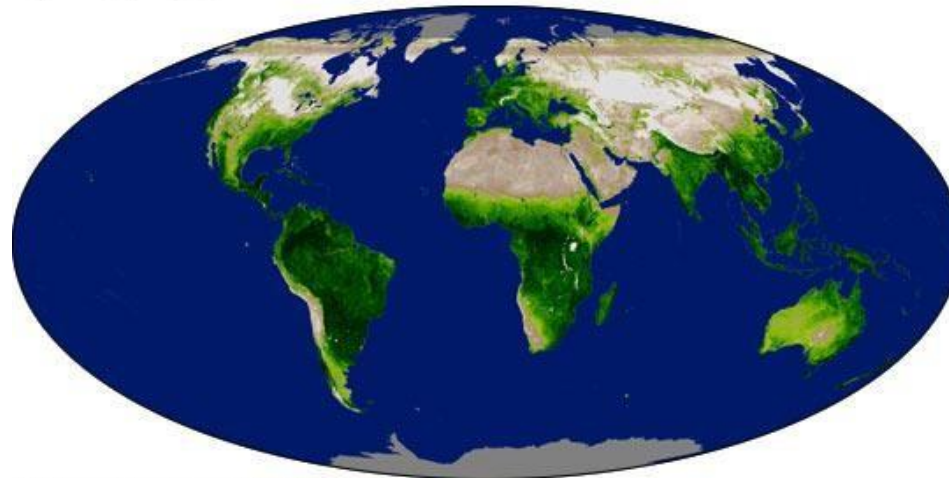
# Seasonal changes in EVI

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May 21–July 21, 2000

**MAY-JULY**



November 21, 2000–January 21, 2001

**NOV- JAN**

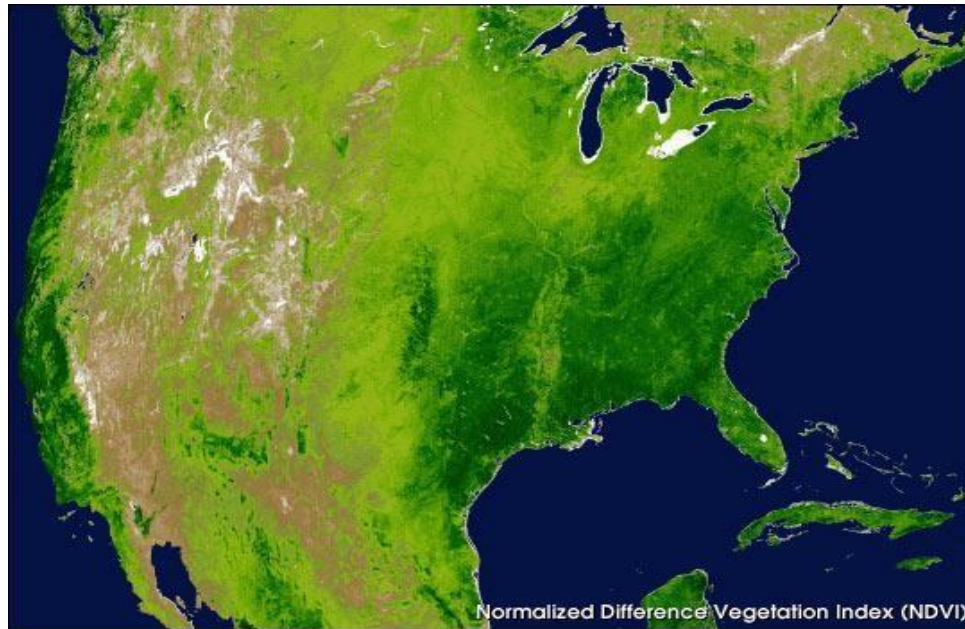
**Averages of two months  
MODIS EVI data**



# MODIS NDVI vs EVI

March 5 through March 20, 2000

Image credit: University of Arizona



- NDVI is sensitive to chlorophyll
- EVI has less aerosol contamination problems
- EVI is more sensitive to NIR reflectance and canopy structural variations (e.g., LAI, canopy architecture)

# An example list of published vegetation indexes

**TABLE 6.1**  
A Summary of Studies on the Determination of N Status for Cereal Crops with Hyperspectral Data

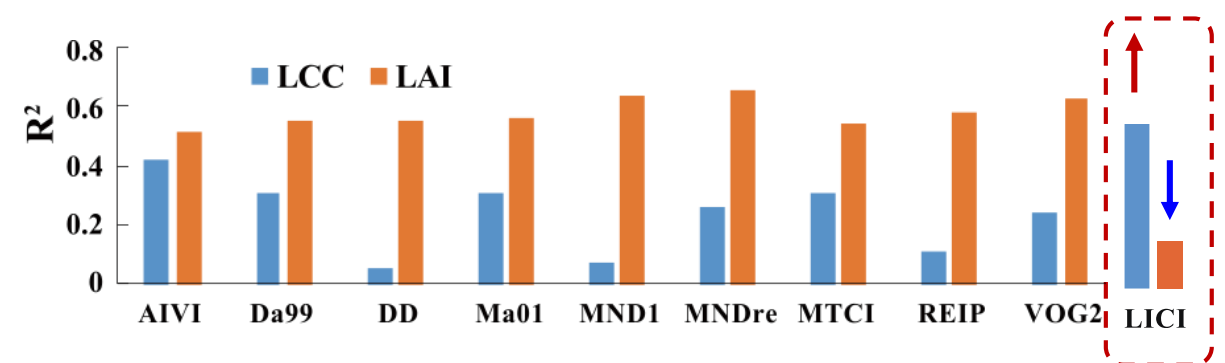
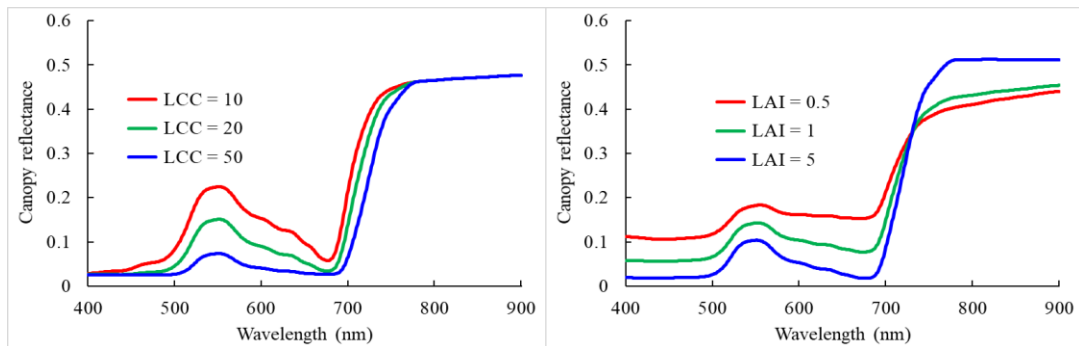
Reference	Crop Type	Coverage of Growth Stages	Best VI or Analytical Method	Level of Observation	Variable of N Status
Xue et al. (2004)	Rice	Tillering, jointing, heading, and filling	$R_{810}/R_{560}$	Canopy	LNC <sub>mass</sub>
Nguyen and Lee (2006)	Rice	Panicle initiation and booting	PLSR	Canopy	LNC <sub>mass</sub>
Tang et al. (2007)	Rice	Booting, heading, milking, and maturing	SMLR	Canopy	LNC <sub>mass</sub>
Zhu et al. (2007b)	Rice	Jointing, booting, heading, and filling	$(R_{1220} - R_{710})/(R_{1220} + R_{710})$	Canopy	LNC <sub>mass</sub>
Zhu et al. (2007a)	Rice	Heading and filling	$(R_{1220} - R_{610})/(R_{1220} + R_{610})$	Canopy	LNC <sub>mass</sub>
Stroppiana et al. (2009)		Tillering, stem elongation, booting, flowering	$(R_{503} - R_{483})/(R_{503} + R_{483})$	Canopy	PNC
Inoue et al. (2012)	Rice	Panicle formation stage	$D_{740}/D_{522}$	Canopy; aircraft	PNA
Wang et al. (2012)	Rice	Jointing, booting, heading, and filling	$(R_{924} - R_{703} + 2 \times R_{423})/(R_{924} + R_{703} - 2 \times R_{423})$	Canopy	LNC <sub>mass</sub>
Yu et al. (2013)	Rice	Tillering, jointing, booting, heading, flowering, and filling	VIs and SMLR	Canopy	LNC <sub>mass</sub> , PNC, PNA
Tian et al. (2014)	Rice	Tillering, jointing, booting, heading, filling, and milking	$R_{553}/R_{537}$	Canopy	LNC <sub>mass</sub>
Cheng et al. (2016)	Rice	Booting, heading	CWA	Canopy	LNC <sub>mass</sub>
Moharana and Dutta (2016)	Rice	Booting, heading, and filling	$R_{705}/(R_{717} + R_{491})$	Satellite	LNC <sub>mass</sub>
Qin et al. (2016)	Rice	Jointing, heading, milking, ripening	$R_{738}/R_{522}$	Canopy	LNC <sub>mass</sub>
Hansen and Schjoerring (2003)	Wheat	Early stem elongation to heading (BBHC-scale growth stages 30–51)	$(R_{750} - R_{734})/(R_{750} + R_{734})$	Canopy	LNC <sub>mass</sub>
Zhu et al. (2007a)	Wheat	Initial heading, full heading, initial filling, full filling	$(R_{1220} - R_{610})/(R_{1220} + R_{610})$ & $R_{1220}/R_{610}$	Canopy	LNC <sub>mass</sub>
Feng et al. (2008)	Wheat	Jointing, booting, anthesis, initial-filling, midfilling, late-filling	$R(\lambda) = R_s - (R_s - R_0) * \exp(-(\lambda_0 - \lambda)^2/2\sigma^2)$	Canopy	LNC <sub>mass</sub>
Li et al. (2010)	Wheat	Feekes 4–7, Feekes 8–10	$(R_{410} - R_{365})/(R_{410} + R_{365})$	Canopy	LNC <sub>mass</sub>
Wang et al. (2012)	Wheat	Jointing, booting, heading, initial-filling, midfilling, late-filling	$(R_{924} - R_{703} + 2 \times R_{423})/(R_{924} + R_{703} - 2 \times R_{423})$	Canopy	LNC <sub>mass</sub>

(Continued)

**Q8: Why do people keep developing new indices?**

# LICI: A new chlorophyll index for reducing the canopy structural effect

- Canopy reflectance in the red and red-edge regions is affected by both canopy structure (leaf area index, LAI) than leaf chlorophyll content (LCC)
- Traditional chlorophyll indices are even more sensitive to LAI than to LCC
- **LAI-insensitive chlorophyll index (LICI)** was proposed to correct for this effect and enhance its sensitivity to LCC



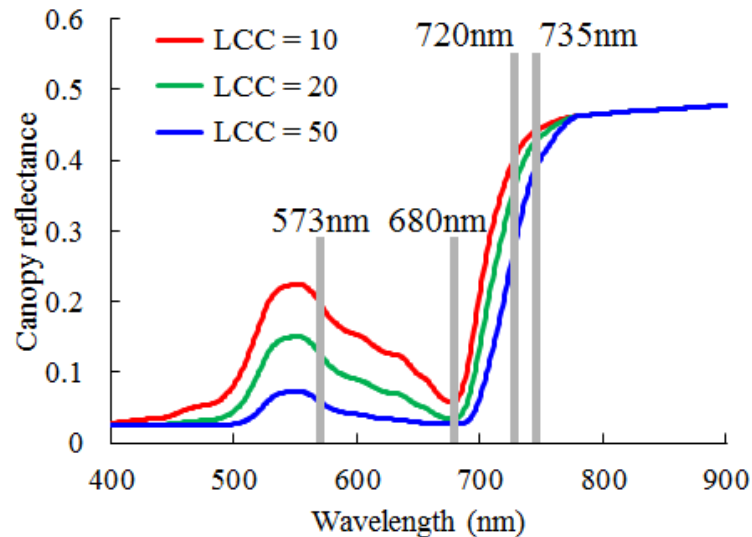
# How does LICl work to reduce the canopy structural effect?

A traditional chlorophyll index

$$MTCI = \frac{R_{754} - R_{709}}{R_{709} - R_{681}}$$

**LICI**

$$LICI = \frac{R_{735}}{R_{720}} - \left( \frac{R_{573} - R_{680}}{R_{573} + R_{680}} \right)$$



VI	Correlation with LAI	Correlation with LCC
$VI_1 = RVI_{735,720}$	Positive	Positive
$VI_2 = NDVI_{573,680}$	Positive	Negative
$LICI = VI_1 - VI_2$	Decreased	Increased

- LICI had the strongest correlation with LCC and weakest correlation with LAI.

# Narrowband spectral indices: good or bad?

- **Pros (upside):**

- Multiple index choices become available for almost any growth parameter
- Sensitive bands or regions (e.g., red edge) are determined for sensor development
- Empirical models are built for converting canopy sensor measurements to physical values

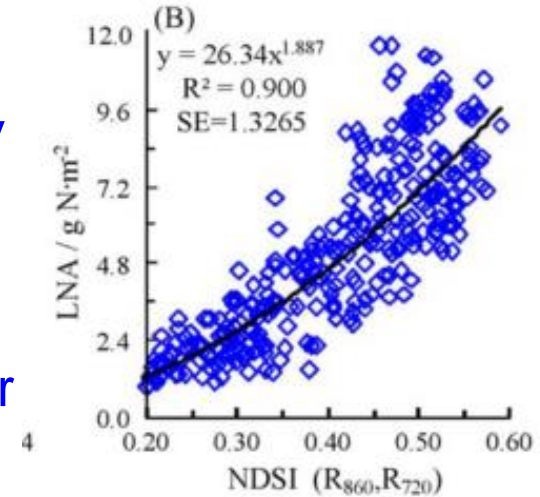


Table 2. Relationships between leaf nitrogen accumulation (LNA), leaf dry weight (LDW), and leaf nitrogen concentration (LNC) and different spectral indices ( $n = 192$ ) and their prediction performance ( $n = 252$ ).

Spectral index	$R^2_{cal}$			Performance of monitoring model			Reference
	LNA	LDW	LNC	$R^2_{val}$	RRMSE	RE	
New indices							
$SR_2(R_{770}, R_{752})$	0.90	0.88	0.16	0.85	0.23	0.20	this study
$SR(R_{770}, R_{752})$	0.88	0.88	0.15	0.80	0.24	0.21	this study
Existed indices							
ND(759, 730)	0.88	0.84	0.15	0.78	0.35	0.27	Hansen and Schjoerring, 2003
SDr/SDb	0.87	0.85	0.14	0.76	0.27	0.25	Feng et al., 2008
ND(860, 720)	0.85	0.83	0.12	0.78	0.35	0.28	Yao et al., 2010
SR(937, 718)	0.82	0.82	0.13	0.80	0.25	0.20	Bajwa et al., 2010
FD <sub>742</sub>	0.82	0.82	0.12	0.56	0.35	0.33	Feng et al., 2008
GVI <sub>2</sub>	0.79	0.78	0.11	0.77	0.27	0.25	Bronson et al., 2003
SR(810, 560)	0.78	0.77	0.11	0.76	0.27	0.20	Xue et al., 2004
GNDVI	0.77	0.77	0.10	0.70	0.38	0.35	Bronson et al., 2003
SR(1100, 560)	0.74	0.72	0.11	0.78	0.27	0.26	Zhou et al., 2006
SR(950, 660)	0.68	0.68	0.12	0.68	0.28	0.26	Zhu et al., 2007
$R_{Average}(760, 810, 870, 950, 1100)/R_{660}$	0.65	0.64	0.09	0.67	0.28	0.27	Zhu et al., 2006
SR(810, 660)	0.64	0.64	0.08	0.66	0.29	0.25	Zhu et al. 2008
LNC sensitive indices							
NDVIg-b	0.24	0.23	0.66	0.02	0.49	0.40	Hansen and Schjoerring, 2003
SR( $R_{553}, R_{537}$ )	0.03	0.02	0.67	0.06	0.58	0.44	Tian et al. 2014
NDI(1220, 710)	0.03	0.03	0.51	0.07	0.52	0.46	Zhu et al. 2007

Chu et al., (2014). AJ



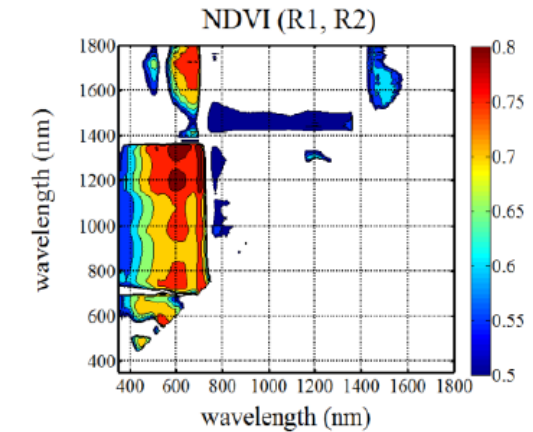
CGMD 402 (NETCIA)



# Narrowband spectral indices: good or bad?

- **Cons (downside):**

- We may be **distracted** by too many band combinations (focus on common ones?)
- Spectral information is not fully used (> 2~3 bands?)
- **Underlying physical mechanisms** are not well understood for trait~VI correlations



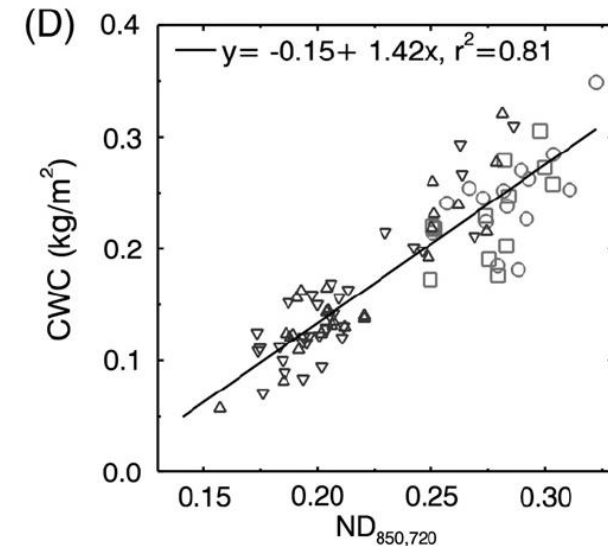
Yao et al., (2015). RS

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K. Yu et al./ISPRS Journal of Photogrammetry and Remote Sensing 78 (2013) 102–115

**Table 3**  
Published vegetation indices used in this study.

Index	Equation	Reference
<i>Simple ratio (SR)</i>		
SR1	$R_{800}/R_{675}$	Jordan (1969)
SR2	$R_{810}/R_{560}$	Xue et al. (2004)
SR3	$R_{750}/R_{710}$	Zarco-Tejada et al. (2001)
SR4	$R_{750}/R_{700}$	Gitelson and Merzlyak (1996)
<i>Normalized difference index</i>		
NDVI	$(R_{800} - R_{680}) / (R_{800} + R_{680})$	Blackburn (1998)
GNDVI	$(R_{801} - R_{550}) / (R_{801} + R_{550})$	Daughtry et al. (2000)
ND705	$(R_{750} - R_{705}) / (R_{750} + R_{705})$	Sims and Gamon (2002)
mSR705	$(R_{750} - R_{445}) / (R_{705} - R_{445})$	Sims and Gamon (2002)
MTCI	$(R_{750} - R_{710}) / (R_{710} - R_{680})$	Dash and Curran (2004)
NDVI [503, 483]	$(R_{503} - R_{483}) / (R_{503} + R_{483})$	Stroppiana et al. (2009)
NDVI [565, 533]	$(R_{565} - R_{533}) / (R_{565} + R_{533})$	Tian et al. (2011)
NDVI [1220, 710]	$(R_{1220} - R_{710}) / (R_{1220} + R_{710})$	Zhu et al. (2007)



Cheng et al., (2014). RSE

**Q9: How can we improve the comparability of results between VI related studies?**

# Further readings

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- RSE Chapter 11

